

# A Mathematical Framework of Optimal Control in Industrial Drying

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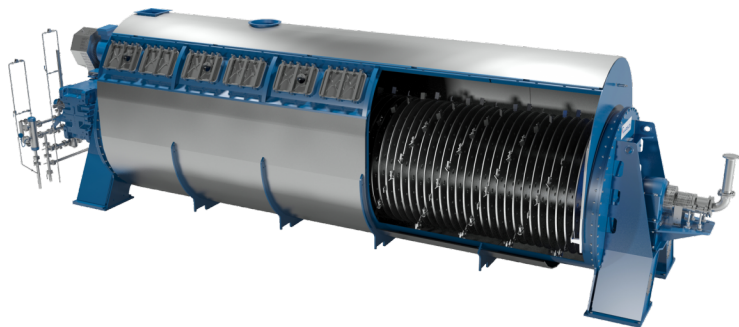
# Introduction

Industrial Driers are a key part of the food processing industry:

- A product is heated and the moisture is evaporated.
- Heating the product is expensive. Large amounts of energy are required to overcome the latent heat of the water and to produce evaporation – **energy intensive**.
- The dried product has to meet certain constraints on the moisture content and **temperature** for quality control.
- The drying must therefore be **controlled** to minimize energy consumption while at the same time maximizing product quality.
- This could be a problem for **Optimal Control Theory**

## Disc Drier

Although we will work with abstract models, the machine we have in mind is the Disc Drier.



- Drum diameter  $\sim 1$  m, length  $\sim 7$  m.
- Moist product in one end, dry product out the other.
- Product heated by contact with heated discs (indirect contact, steam), product pumped along length of drier.
- Rotating discs have 'stirrers' to agitate product (mixing).
- Evaporation of moisture into gas stream and there to a collector.

# Strategy of Project / Plan of Talk

- 1 Develop very simple toy model of industrial heating, hence:
  - ▶ Numerical solutions,
  - ▶ Analytical solutions,
  - ▶ Analytical Optimal Control Theory
  - ▶ Numerical Optimal Control Theory
  - ▶ Functional Analysis Context
- 2 Develop a more detailed model of industrial drier... still probably not yet usable, but with:
  - ▶ More Physics ('three-equation model'),
  - ▶ Evaporation,
  - ▶ Numerical Solutions, Optimal Control Theory
- 3 Optimal Control establishes a 'target'; final part of project is to investigate more practical control algorithms, and determine how close they are to optimal.

## Simple Mathematical Model

We start by looking at a simple mathematical model (SMM) of industrial heating, which is a kind of 'Newton's Law of cooling with advection', with  $T$  as product temperature:

$$\frac{\partial T}{\partial t} + u_0 \frac{\partial T}{\partial x} = k [q(t) - T], \quad x \in (0, \ell], \quad t > 0.$$

A model of an industrial process with an **inlet**:

$$T(x = 0, t) = T_{inlet}(t)$$

a **treatment**  $q(t)$ , and an **outlet**, the outlet is computed from  $T(x = \ell, t)$ . There is also an initial condition,  $T(x, t = 0) = T_{init}(x)$ .

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The goal will be to choose a treatment  $q(t)$  to maintain the outlet temperature  $T(x = \ell, t)$  at a target state – hence, **Optimal Control Theory**.

# Analytical and Numerical Solutions

We first try to understand the model by looking at solutions.

**Analytic solution** via Laplace Transforms / Method of Characteristics:

$$T(x, t) = \begin{cases} T_{init}(x - u_0 t)e^{-kt} + \mathcal{I}(t), & u_0 t < x, \\ \left[ T_{inlet} \left( t - \frac{x}{u_0} \right) - \mathcal{I} \left( t - \frac{x}{u_0} \right) \right] e^{-kx/u_0} + \mathcal{I}(t), & u_0 t > x, \end{cases}$$

with particular integral:

$$\mathcal{I}(t) = e^{-kt} \int_0^t e^{kt'} [k q(t')] dt'.$$

**Numerical solution** via second-order upwind scheme:

$$\frac{T_i^{n+1} - T_i^n}{\Delta t} + u_0 \left( \frac{3T_i^n - 4T_{i-1}^n + T_{i-2}^n}{2\Delta x} \right) = k [q(t^n) - T_i^n].$$



## Sample Results

Sample forcing term:

$$q(t) = [50 + 5 \sin(2\pi t)] \text{ } ^\circ\text{C}.$$

Sample inlet condition:

$$T_{inlet}(t) = [100 + 10 \sin(2\pi t)] \text{ } ^\circ\text{C}.$$

Inlet condition:  $T_{init}(x) = 100^\circ\text{C}$ .

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Parameters:

Parameter	Value
$\ell$	5 m
$u_0$	$1 \text{ m} \cdot \text{min}^{-1}$
$k$	$0.5 \text{ min}^{-1}$
$N$	200
$\Delta t$	$10^{-3} \text{ min}$

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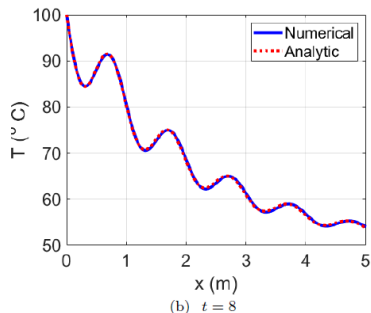
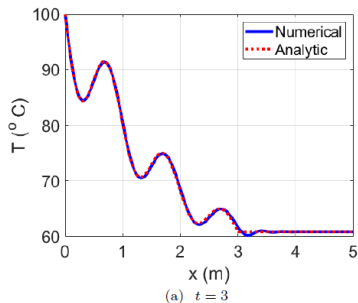
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## Analytical Optimal Control

Set  $T(\ell, t) = T_*$  in the analytical solution. For  $t < \ell/u_0$ :

$$T_* + \frac{u_0}{k} T'_{init}(\ell - u_0 t) e^{-kt} + q(0) e^{-kt} = q(t), \quad t < \ell/u_0.$$

For  $t > \ell/u_0$ :

$$T_* - T_{inlet}(t - \ell/u_0) e^{-k\ell/u_0} - \frac{1}{k} T'_{inlet}(t - \ell/u_0) e^{-k\ell/u_0} + q(t - \ell/u_0) e^{-k\ell/u_0} = q(t).$$

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$$T_* - T_{inlet}(t - \ell/u_0) e^{-k\ell/u_0} - \frac{1}{k} T'_{inlet}(t - \ell/u_0) e^{-k\ell/u_0} + q(t - \ell/u_0) e^{-k\ell/u_0} = q(t).$$

- Unknown quantity  $q(0)$ .
- If  $T_{init} = T_0 = T_*$ , then  $q(0)$  can be taken as zero. Then, first equation has a closed-form solution, and second equation can be solved recursively.
- A possible jump discontinuity at the first residence time  $t_0 = \ell/u_0$ :

$$\begin{aligned} q(t_0^-) &= T_* + \frac{u_0}{k} T'_{init}(0) e^{-kt_0}, \\ q(t_0^+) &= T_* - T_{inlet}(0) e^{-kt_0} - \frac{1}{k} T'_{inlet}(0) e^{-kt_0}. \end{aligned}$$

# Numerical Optimal Control I

Introduce penalty function:

$$J(T, q) = \frac{1}{2} \int_0^\tau [T(\ell, t) - T_*]^2 dt.$$

Adjoin to the penalty function the constraint:

$$L(T, q, \psi) = \frac{1}{2} \int_0^\tau [T(\ell, t) - T_*]^2 dt + \int_0^\tau dt \int_0^\ell dx \left[ \frac{\partial T}{\partial t} + u_0 \frac{\partial T}{\partial x} - k(q(t) - T) \right] \psi.$$

Here,  $\psi$  has the interpretation of the Lagrange multiplier.

## Numerical Optimal Control II

Make small variations in temperature  $T$  and control  $q$ :

$$\begin{aligned} \delta L = & \int_0^\tau [T(\ell, t) - T_*] \delta T(\ell, t) dt - \int_0^\tau dt \int_0^\ell k \psi \delta q dx \\ & + \int_0^\tau \int_0^\ell \left[ -\frac{\partial \psi}{\partial t} - u_0 \frac{\partial \psi}{\partial x} + k \psi \right] \delta T dx + \int_0^\ell [\psi \delta T]_{t=\tau} dx + \int_0^\tau [u_0 \psi \delta T]_{x=\ell} dt. \end{aligned}$$

Identify the **adjoint problem**:

$$-\frac{\partial \psi}{\partial t} - u_0 \frac{\partial \psi}{\partial x} + k \psi = 0, \quad x \in [0, \ell),$$

with outlet condition

$$\psi(\ell, t) = -(1/u_0)[T(\ell, t) - T_*],$$

and terminal condition  $\psi(x, \tau) = 0$ .

## Numerical Optimal Control III

Thus,

$$\delta L = - \int_0^T dt \delta q \int_0^\ell k\psi(x, t) dx.$$

Indeed,

$$\frac{\delta L}{\delta q}(t) = - \int_0^\ell k\psi(x, t) dx.$$

Suggestive of algorithm:

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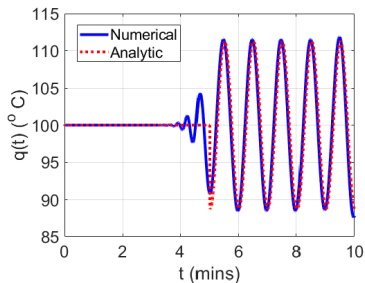
### Algorithm 1 Steepest-Descent Algorithm for the SMM

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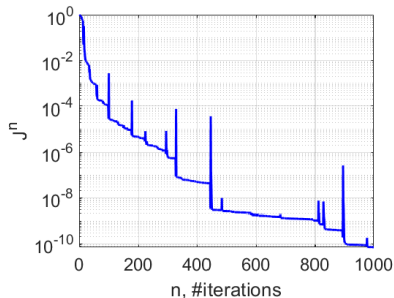
- 1: Initialize the control using some initial guess  $q(t) = q_0(t)$ .
  - 2: Solve SMM to the final time  $\tau$ , compute  $T(\ell, t)$  for each  $t \in [0, \tau]$ .
  - 3: Solve the adjoint problem.
  - 4: Update the control  $q(t) \leftarrow q(t) + \lambda \int_0^\ell (k\psi) dx$ , where  $\lambda$  is a small positive parameter.
  - 5: Repeat steps 2–4 until  $L$  is sufficiently small.
-



# Numerical Optimal Control – Results



Excellent agreement, apart from at jump discontinuity (numerical diffusion).



Plot showing the value of the cost function  $J(T, q)$  at each iteration using the **Barzilai–Borwein** method.

## Mathematical Setting I

We let  $T(x, t) \in Y$  and  $q(t) \in U$ . We let  $e(T, q) = \partial_t T + u_0 \partial_x T - k(q - T) \in Z$ . As  $e$  is linear in  $T$  and  $q$ , this can also be identified with a linear problem,

$$e(T, q) = AT + Bq, \quad A \in \mathcal{L}(Y, Z).$$

Here,  $Y$  and  $Z$  are appropriate Banach spaces,  $\mathcal{L}(Y, Z)$  is the space of linear operators from  $Y$  to  $Z$ , and  $U$  is an appropriate Hilbert space.

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$$\begin{aligned} \langle \psi, e(T, q) \rangle_{Z^*, Z} &= \int_0^\tau dx \int_0^\ell (-T \partial_t \psi) dx + \int_0^\tau dx \int_0^\ell u_0 (-T \partial_x \psi) dx \\ &\quad - \int_0^\tau dx \int_0^\ell k(T - q) \psi dx + \int_0^\tau u_0 [T(\ell, t) \psi(\ell, t) - T(0, t) \psi(0, t)] dt \\ &\quad + \int_0^\ell [T(x, \tau) \psi(x, \tau) - T(x, 0) \psi(x, 0)] dx, \end{aligned}$$

for all test functions  $\psi \in Z^*$ .

# Mathematical Setting II

**Lagrangian:**  $L : Y \times U \times Z^* \rightarrow \mathbb{R}$ , where

$$L(T, q, \psi) = J(T, q) + \langle \psi, e(T, q) \rangle_{Z^*, Z}$$

(same as before!). The first-order optimality conditions in the direction  $(\delta T, \delta \psi, \delta q)$  are:

$$\langle J_T(T, q), \delta T \rangle_{Y^*, Y} + \langle \psi, e_T(T, q) \delta T \rangle_{Z^*, Z} = 0 \quad \text{Adjoint Problem}$$

$$\langle \delta \psi, e_T(T, q) \delta T \rangle_{Z^*, Z} = 0 \quad \text{Constraint}$$

$$\langle J_q(T, q), \delta q \rangle_{U^*, U} + \langle \psi, e_q(T, q) \delta q \rangle_{Z^*, Z} = 0 \quad \text{Optimality Condition,}$$

$$\delta J / \delta q = 0$$

## Mathematical Setting III

If, instead, we view the solution  $T$  as a function of the forcing  $q$ ,  $T(q)$ , we obtain the reduced cost function

$$\hat{J}(q) = J(T(q), q) = J(T(q), q) + \langle \psi, e(T(q), q) \rangle_{Z^*, Z} = L(T(q), q, \psi).$$

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We can differentiate w.r.t.  $q$  to obtain:

$$\begin{aligned} \langle \widehat{J}'(q), \delta q \rangle_{U^*, U} &= \langle L_q(T(q), q, \psi(q)), \delta q \rangle_{U^*, U}, \\ &= \langle J_q(T(q), q), \delta q \rangle_{U^*, U} + \langle \psi(q), e_q(T(q), q), \delta q \rangle_{U^*, U}, \\ &= \langle J_q(T(q), q), \delta q \rangle_{U^*, U} + \langle e_q(T(q), q)^* \psi(q), \delta q \rangle_{U^*, U}, \end{aligned}$$

which is true for all admissible perturbations  $\delta q \in U$ .

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Riesz Representation Theorem for  $U$ , we identify the vector  $\widehat{J}'(q) \in U^* = U$ :

$$\widehat{J}'(q) = J_q(T(q), q) + e_q(T(q), q)^* \psi(q),$$

which is precisely  $(\delta J / \delta q)(t) = k \int_0^\ell k \psi(x, t) dx$ .



# General Result

Theory for a more general cost function due to Hinze et al.<sup>1</sup>, for:

$$\min_{(T,q) \in Y \times U} J(T,q) + \frac{1}{2}\alpha \|q\|_U^2, \text{ subject to } AT + Bq = 0, q \in U_{ad} \subset U, T \in Y_{ad} \subset Y, \quad (1)$$

The following result holds:

## Theorem (Hinze et al.)

Suppose the following statements are true:

- 1  $\alpha \geq 0$ ,  $U_{ad} \subset U$  is convex, and in the case of  $\alpha = 0$ , bounded;
- 2  $Y_{ad} \subset Y$  is convex and closed, such that  $AT + Bq = 0$  for at least one set of functions  $T \in Y_{ad}$  and  $q \in U_{ad}$ ;
- 3  $A \in \mathcal{L}(Y, Z)$  has a bounded inverse.

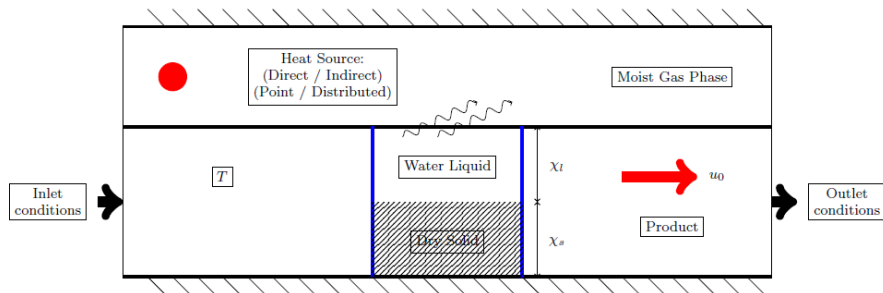
Then, the problem (1) has an optimal solution  $(T_{opt}, q_{opt})$ . If  $\alpha > 0$ , then the solution is unique.

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<sup>1</sup>Optimization with PDE Constraints, Hinze, Pinnau, Ulbrich, and Ulbrich (Springer, 2009)

# A more detailed model of an industrial drier

We now look at a more detailed model of an industrial drier, based on the following concept:



Schematic diagram showing the generic process calculations for an industrial dryer

# Mass Balance

We look at the solid stream only, introduce

$$\epsilon_s = \rho_s X_s, \quad \epsilon_l = \rho_l X_l,$$

where  $X_s$  and  $X_l$  are volume fractions, with  $X_s + X_l + X_{\text{moist gas}} = 1$ . Conservation of mass then yields, simply:

$$\begin{aligned} \frac{\partial \epsilon_s}{\partial t} + \frac{\partial}{\partial x}(u_0 \epsilon_s) &= 0, \\ \frac{\partial \epsilon_w}{\partial t} + \frac{\partial}{\partial x}(u_0 \epsilon_w) &= -\dot{m}, \end{aligned}$$

where  $\dot{m}$  is the drying rate, with dimensions of  $\text{kg}/(\text{m}^3 \cdot \text{s}^{-1})$ , and is a general function of  $\epsilon_s, \epsilon_l$ , and temperature. We take  $u_0$  to be a **constant velocity**.

Also useful:  $\bar{\rho} = \epsilon_s + \epsilon_l$ , with

$$\frac{\partial \bar{\rho}}{\partial t} + \frac{\partial}{\partial x}(u_0 \bar{\rho}) = -\dot{m}.$$

Hence, **mass fractions**  $x_l = \epsilon_l / \bar{\rho}$  and  $x_s = \epsilon_s / \bar{\rho}$ .

# Energy Balance

Internal energy per unit mass (symbol!):

$$e = \left[ \sum_{i=s,l} c_{p,i} (\epsilon_i / \bar{\rho}) \right] (T - T_{\text{ref}}),$$

where

- $c_{p,i}$  is the specific heat per unit mass of the  $i^{\text{th}}$  component,
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Energy conservation:

$$\frac{\partial \bar{\rho} e}{\partial t} + \frac{\partial}{\partial x} (u_0 \bar{\rho} e) = \dot{q} - \dot{m} h_l.$$

where

- $\dot{q}$  is the power per unit volume in (heating),
- $\dot{m} h_l$  is the power loss per unit volume (evaporation),  $h_l$  is the specific latent heat.

# Three-Equation Model (TEM)

Re-write energy equation as an advection equation, and summarize **three-equation model**:

$$\begin{aligned}\frac{\partial \epsilon_s}{\partial t} + \frac{\partial}{\partial x}(u_0 \epsilon_s) &= 0, \\ \frac{\partial \epsilon_w}{\partial t} + \frac{\partial}{\partial x}(u_0 \epsilon_w) &= -\dot{m}, \\ \frac{\partial T}{\partial t} + u_0 \frac{\partial T}{\partial x} &= \frac{\dot{q} - \dot{m} [h_l - c_{p,w}(T - T_{\text{ref}})]}{\sum_{i=s,l} c_{p,i} \epsilon_i}.\end{aligned}$$

## Drying Rate – Constant Drying Rate

Two regimes. Initial regime – **constant drying rate** – limited by conditions on moist gas phase:

$$\dot{m} = (m_0 k_c) a_V (Y_*(T) - Y_{air}),$$

where

- $m_0 k_c$  are constants,  $a_V$  is the surface area exposed per unit volume,
- $Y$  is the absolute humidity of the air (dry gas basis), e.g.

$$Y_{air} = M_{water\ vapour} / M_{dry\ air},$$

- $Y_*(T)$  is the a.h. of the air at saturation, at the temperature  $T$ .

$Y_*(T)$  is computed in terms of pressures:

$$Y_*(T) = 0.62198 \left( \frac{p_{ws}}{p_a - p_{ws}} \right),$$

Here,  $p_a$  is the pressure of the moist gas and  $p_{ws}$  is the saturation pressure of water, obtainable from Clausius–Clapheron relations or similar, e.g. Antoine's Equation:

$$p_{ws} = 10^{A-B/(C+T)}.$$

## Drying Rate – Falling Drying Rate

After surface moisture is evaporated, remaining moisture must be drawn from inside product to surface – diffusion-limited – **falling drying rate** – Lewis Equation:

$$\dot{m} = k_f \epsilon_s (X - X_*) \quad \text{OR} \quad \dot{m} = k_f (\epsilon_l - X_* \epsilon_w).$$

$X_*$  is the **equilibrium moisture level**.

Summarizing,

$$\dot{m} = \begin{cases} (m_0 k_c) a_V (Y_*(T) - Y_{air}), & X > X_c, \\ k_f (\epsilon_l - X_* \epsilon_w), & X \leq X_c. \end{cases}$$

where  $k_c$  and  $k_f$  are rate coefficients and  $X_c$  is the changeover moisture level.

We view  $\dot{m}$  as a ‘module’, we work with the falling drying-rate only for definiteness / mathematical simplicity, other modules can be ‘slotted in’ as required.



# Power / Typical Parameters

Drier power:

$$P = A_x \int_0^\ell \dot{q} dx,$$

where  $A_x$  is  $x$ -sectional area.

With  $\dot{q}$  constant, this gives

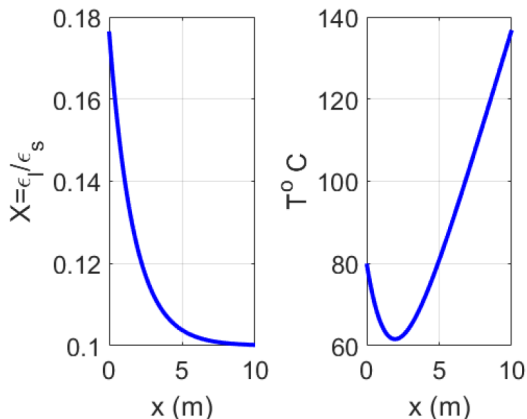
$$\dot{q} = \frac{P}{A_x \ell}.$$

Typical parameters:

Parameter	Value
$P$	$4 \times 10^4$ Watt
$\ell$	10 m
$u_0$	$1/3 \text{ m} \cdot \text{min}^{-1}$
$X_*$	0.1
$T_{\text{inlet}}$	$80^\circ\text{C}$
$x_{w,\text{inlet}}$	0.15
$x_{s,\text{inlet}}$	$1 - x_{w,\text{inlet}}$
$\dot{m}_{\text{inlet}}$	$10 \text{ kg} \cdot \text{min}^{-1}$
$A_x$	$\pi(0.5 \text{ m})^2$
$\Phi_{\text{inlet}}$	$\dot{m}_{\text{inlet}}/A_x$
$\bar{\rho}_{\text{inlet}}$	$\Phi_{\text{inlet}}/u_0$
$\epsilon_{i,\text{inlet}}$	$x_{i,\text{inlet}}\bar{\rho}_{\text{inlet}}$

# Sample Results

We solve the TEM in equilibrium with  $\partial/\partial t \rightarrow 0$ . (Numerics: ODE45)



Plot showing the behavior of the equilibrium solution

- $X$  drops to equilibrium level over length of drier – good choice of parameters.
- $T$  dips near inlet (evaporation / cooling) and then rises to a maximum at outlet (heating).
- Observed behaviour in industrial driers.

# Optimal Control Theory

We know from the SMM how the Optimal Control theory works – apply it now to the Three-Equation Model (TEM).

- 1 Initial guess for  $q(t)$ . Solve the Forward Equations.
- 2 Solve the adjoint equation system:

$$-\frac{\partial}{\partial t} \begin{pmatrix} \psi_s \\ \psi_l \\ \psi_T \end{pmatrix} - u_0 \frac{\partial}{\partial x} \begin{pmatrix} \psi_s \\ \psi_l \\ \psi_T \end{pmatrix} - \mathbb{J}^T \begin{pmatrix} \psi_s \\ \psi_l \\ \psi_T \end{pmatrix} = 0,$$

where  $\mathbb{J}$  is the Jacobian of the TEM at  $x$  and  $t$  (computationally intensive).

- 3 Terminal / outlet conditions:

$$\psi_s(\ell, t) = 0, \psi_l(\ell, t) = 0, \quad \psi_T(\ell, t) = -(1/u_0)[T(\ell, t) - T_*].$$

- 4 Update  $\dot{q}$  from step  $n$  to step  $n + 1$ :

$$[\dot{q}(t)]^{n+1} = [\dot{q}(t)]^n + \lambda^n \int_0^\ell \psi_T^n(x, t) \eta^n(x, t) dx,$$

where  $\eta(x, t) = [c_{p,l}\epsilon_l(x, t) + c_{p,s}\epsilon_s(x, t)]^{-1}$ .

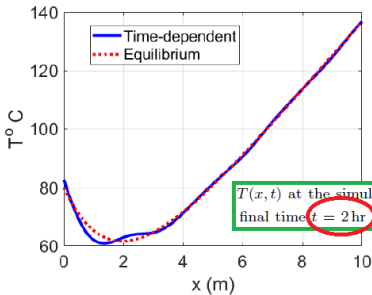
## The inlet conditions are known for all time

The inlet conditions are known over the time horizon of the simulation ( $= \tau$ ), and the optimal control is scheduled:

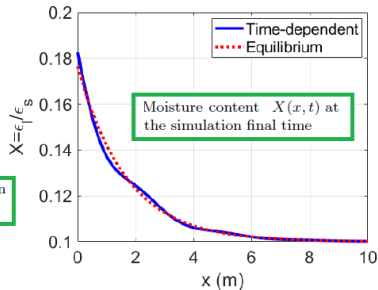
$$\begin{aligned}\epsilon_s(x = 0, t) &= \epsilon_{s,0}, \\ \epsilon_l(x = 0, t) &= \epsilon_{l,eq}(x = 0) [1 + \delta\alpha \sin(\omega_F t)], \\ T(x = 0, t) &= T_{eq}(x = 0) [1 + \delta\alpha \sin(\omega_F t)],\end{aligned}$$

- 'eq' refers to the equilibrium solution (depends only on  $x$ ),
- $\delta\alpha$  is a perturbation from equilibrium
- Monochromatic perturbation –  $\omega_F = 2\pi/(8.5 \text{ mins})$ .

# Results

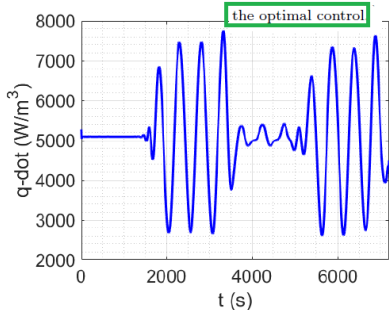
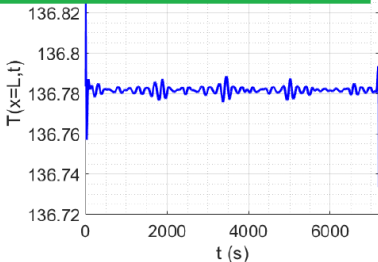


$T(x, t)$  at the simulation final time  $t = 2$  hr

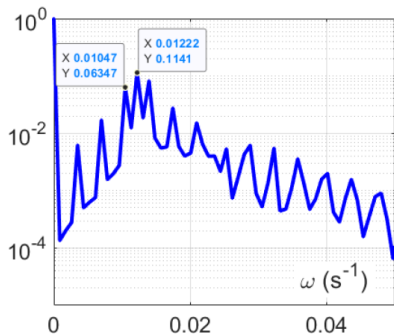


Moisture content  $X(x, t)$  at the simulation final time

the controlled temperature fluctuation  $T(x = \ell, t)$  showing attainment of the control  $\delta T(x = \ell, t) \rightarrow T_*$



# Beat Pattern

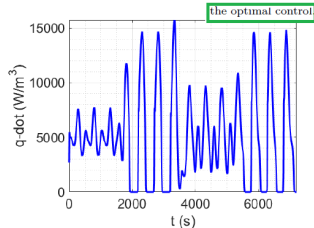
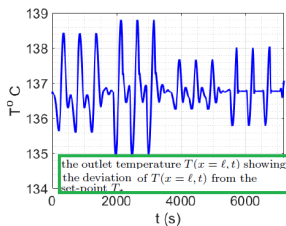
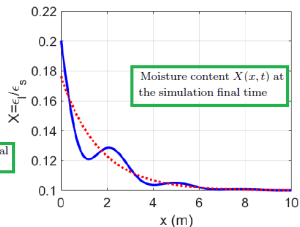
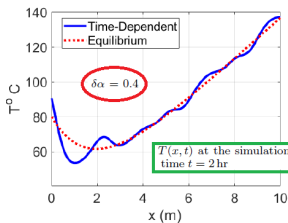


Normalized power spectrum of  $\delta \dot{q}$  taken with respect to a simulation over 2 hours (nonlinear case). The maximum at  $\omega \approx \omega_F$  is highlighted.

- Broad power spectrum because of 'ramp up' in forcing term (hyperbolic system).
- Secondary peak close to the primary peak at  $\omega_F$ .
- Two neighbouring peaks in Fourier space – beat.

# Nonlinear Case

- Large  $\delta\alpha (= 0.4)$ .
- Possibility of  $\dot{q} < 0$ .
- Constrain optimization by taking  $\dot{q} = (1/2)\theta(t)^2$ .
- Optimize over  $\theta(t)$ .
- Results qualitatively similar to before.



# Complex inlet forcing

$$\begin{aligned}\epsilon_s(x=0, t) &= \epsilon_{s,0}, \\ \epsilon_s(x=0, t) &= \epsilon_{l,0} [1 + \delta\alpha R_l(t)], \\ T(x=0, t) &= T_0 [1 + \delta\alpha R_T(t)],\end{aligned}$$

where

$$R_j(t) = \frac{1}{N_{modes}} \sum_{k=1}^{N_{modes}} \cos\left(2\pi \frac{kt}{T_{max}} + \chi_{j,k}\right),$$

Parameter	Value
$P$	--
$\ell$	10 m
$u_0$	$1/3 \text{ m} \cdot \text{min}^{-1}$
$X_*$	0.1
$T_{inlet}$	80°C
$T_*$	120°C
$x_{w,inlet}$	0.15
$\dot{m}_{inlet}$	$10 \text{ kg} \cdot \text{min}^{-1}$
$A_x$	$\pi(0.5 \text{ m})^2$
$\delta\alpha$	0.2
$N_{modes}$	10,2
$\tau$	4 h

Parameter values for the adiabatic control



# Adiabatic Control Algorithm

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## Algorithm 2 Algorithm for Adiabatic Control

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- 1: **if**  $t < \ell/u_0$  **then**
  - 2:     Keep  $q(t)$  at the initial value  $q(0)$ ;
  - 3: **else**
  - 4:     **if** If  $T_{update}$  seconds have elapsed: **then**
  - 5:         Compute rolling averages  $\overline{\epsilon_l(x=0,t)}$  and  $\overline{T(x=0,t)}$  over preceding  $T_{avg}$  seconds;
  - 6:         Rolling averages used as inlet conditions for the **equilibrium model**;
  - 7:         Compute  $\dot{q}_* = \text{Const.}$  such that  $T(\ell) = T_*$  in the eqm model;
  - 8:         Update  $\dot{q}(t)$  with  $\dot{q}_*$ .
  - 9:     **end if**
  - 10:     Repeat Steps 5–8 every  $T_{update}$  seconds.
  - 11: **end if**
-

# Adiabatic Control Algorithm

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## Algorithm 3 Algorithm for Adiabatic Control

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- 1: **if**  $t < \ell/u_0$  **then**
  - 2:     Keep  $q(t)$  at the initial value  $q(0)$ ;
  - 3: **else**
  - 4:     **if** If  $T_{update}$  seconds have elapsed: **then**
  - 5:         Compute rolling averages  $\overline{\epsilon_l(x=0, t)}$  and  $\overline{T(x=0, t)}$  over preceding  $T_{avg}$  seconds;
  - 6:         Rolling averages used as inlet conditions for the **equilibrium model**;
  - 7:         Compute  $\dot{q}_* = \text{Const.}$  such that  $T(\ell) = T_*$  in the eqm model;
  - 8:         Update  $\dot{q}(t)$  with  $\dot{q}_*$ .
  - 9:     **end if**
  - 10:     Repeat Steps 5–8 every  $T_{update}$  seconds.
  - 11: **end if**
- 

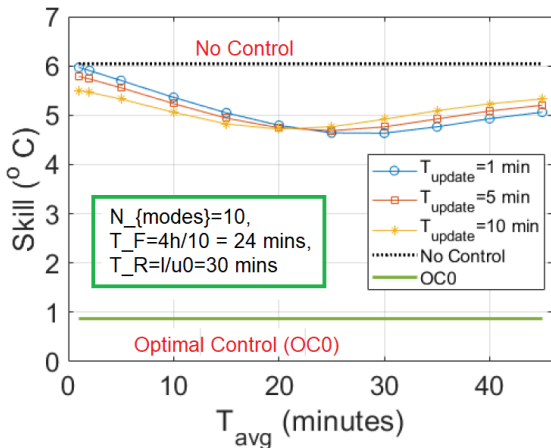
Optimal Control Theory allows us to quantify how effective the Adiabatic Control Algorithm is.

# Adiabatic Control is poor for rapid inlet variations

- Skill  $\propto J(T, q)$ :

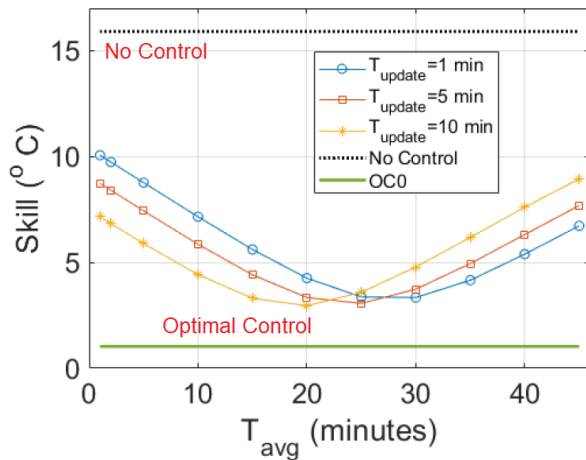
$$\text{Skill} = \int_0^{\tau} [T(\ell, t) - T_*]^2 dt.$$

- Low Skill score indicates good control.
- Skill depends on  $T_{avg}$  and  $T_{update}$ .
- Expect results to depend strongly on residence time  $T_R = \ell/u_0$ .



Score the control algorithm for the case of 'fast' inlet forcing, as a function of  $T_{avg}$ .

## Adiabatic Control is good for slow inlet variations



$N_{\text{modes}}=2$ ,  
 $T_F=4\text{h}/2=120$  mins,  
 $T_R=30$  mins

Best skill score at  
 $T_{\text{avg}} \approx T_R$

Skill of the control algorithm for the case of 'slow' inlet forcing, as a function of  $T_{avg}$ .

# Conclusions

- Formulated a set of models of industrial drying – simple model → detailed model.
- Applied Mathematics approach combines elements of different disciplines:
  - ▶ Functional Analysis
  - ▶ Control Theory
  - ▶ Physics (Drying Rate)
- Optimal Control of outlet temperature achieved via PDE-constrained Optimal Control Theory / Gradient Descent Method.
- Optimal Control is a 'best case' to aim for, other simplified controls can be introduced and performance quantified with respect to the best case.
- Adiabatic control is one such promising approach, others (e.g. Kalman Filter) can be investigated using our modelling framework.

# Acknowledgements

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