

Strong Pseudoconvexity in Banach Spaces

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Domains of holomorphy

A domain Ω in a Banach space X is called a **domain of holomorphy** if its boundary consists of singular points for $\mathcal{H}(\Omega)$.

Example

The following are domains of holomorphy:

- Any domain in the complex plane
- Domains of convergence of multivariable power series
- Any convex domain in a Banach space

A counterexample: **Hartogs' domain**.

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Are there commonalities in the complex geometry of domains of holomorphy?

Remark

If $\Omega \subset \mathbb{C}^n$ is a **convex** domain with C^2 boundary, and if r is a defining function of $b\Omega$, then for all $p \in b\Omega$,

$$\sum_{j,k=1}^{2n} \frac{\partial^2 r}{\partial x_j \partial x_k}(p) \zeta_j \zeta_k \geq 0, \quad \forall \zeta \in T_p(b\Omega) := \left\{ \xi \in \mathbb{R}^{2n} : \sum_{j=1}^{2n} \frac{\partial r}{\partial x_j}(p) \xi_j = 0 \right\}.$$

Proposition (Levi, 1910-1911)

Every domain of holomorphy $\Omega \subset \mathbb{C}^n$ with C^2 boundary is **Levi pseudoconvex** i.e. when r is a defining function of $b\Omega$, for all $p \in b\Omega$,

$$\sum_{j,k=1}^n \frac{\partial^2 r}{\partial z_j \partial \bar{z}_k}(p) t_j \bar{t}_k \geq 0, \quad \forall t \in T_p^{\mathbb{C}}(b\Omega) := \left\{ \xi \in \mathbb{C}^n : \sum_{j=1}^n \frac{\partial r}{\partial z_j}(p) \xi_j = 0 \right\}. \quad (1)$$

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Strong pseudoconvexity

A domain of holomorphy $\Omega \subset \mathbb{C}^n$ with C^2 boundary is called **strictly (Levi) pseudoconvex** when moreover we have

$$\sum_{j,k=1}^n \frac{\partial^2 r}{\partial z_j \partial \bar{z}_k}(p) t_j \bar{t}_k > 0, \quad \forall t \neq 0 \in T_p^{\mathbb{C}}(b\Omega) := \left\{ \xi \in \mathbb{C}^n : \sum_{j=1}^n \frac{\partial r}{\partial z_j}(p) \xi_j = 0 \right\}, \quad (2)$$

for r a defining function of $b\Omega$ and all $p \in b\Omega$.

Proposition (*HFIRSCV* of Range, 1986)

A domain $\Omega \subset \mathbb{C}^n$ with C^2 boundary is **strongly pseudoconvex** iff there exists a defining function of $b\Omega$, $r : U \supset b\Omega \rightarrow \mathbb{C}$, such that there exist constants $L, M > 0$ satisfying that for all $p \in U$ and $t \in \mathbb{C}^n$,

- 1 $\sum_{j,k=1}^n \frac{\partial^2 r}{\partial z_j \partial \bar{z}_k}(p) t_j \bar{t}_k \geq L \|t\|^2,$
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Plurisubharmonicity

The mentioned condition on the **complex Hessian** of a C^2 function $f : U \subset \mathbb{C}^n \rightarrow \mathbb{R}$ given by

$$\sum_{j,k=1}^n \frac{\partial^2 f}{\partial z_j \partial \bar{z}_k}(p) t_j \bar{t}_k \geq L \|t\|^2 \text{ for all } p \in U, t \in \mathbb{C}^n,$$

is referred to as f being a C^2 **strongly plurisubharmonic function**, since it is more than plurisubharmonic.

A function $f : U \subset \mathbb{C}^n \rightarrow [-\infty, \infty)$ is called **plurisubharmonic** if f is upper semicontinuous and for each $a \in U$ and $b \in \mathbb{C}^n$ such that $a + \bar{\mathbb{D}} \cdot b \subset U$,

$$f(a) \leq \frac{1}{2\pi} \int_0^{2\pi} f(a + e^{i\theta} b) d\theta.$$

Remark

If $f \in C^2(U)$, f is **plurisubharmonic** iff $\sum_{j,k=1}^n \frac{\partial^2 f}{\partial z_j \partial \bar{z}_k}(p) t_j \bar{t}_k \geq 0$.

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Plurisubharmonicity

This has been generalized in the sense of distribution: Given $f \in L^1(U, loc)$, f is called **plurisubharmonic in distribution** if the distribution it induces is plurisubharmonic, while a distribution $T \in \mathcal{D}'(U)$ is called **plurisubharmonic** when

$$\sum_{j,k=1}^n \frac{\partial^2 T}{\partial z_j \partial \bar{z}_k}(\phi) t_j \bar{t}_k \geq 0, \text{ for all } \phi \geq 0 \text{ in } \mathcal{D}(U) \text{ and } t \in \mathbb{C}^n.$$

Proposition (*Notions of Convexity* of Hörmander, 1994)

Suppose that U is a connected domain in \mathbb{C}^n . If $f \neq -\infty$ is **plurisubharmonic**, then $f \in L^1(U, loc)$ and f is plurisubharmonic in distribution. Conversely, if $T \in \mathcal{D}'(U)$ is **plurisubharmonic** then there exists $f \in L^1(U, loc)$ plurisubharmonic such that f induces the distribution T . **As a corollary**, if $f \in L^1(U, loc)$ is plurisubharmonic in distribution then there exists $g \in L^1(U, loc)$ plurisubharmonic such that $f = g$ λ -a.e.

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This has been generalized in the sense of distribution: Given $f \in L^1(U, loc)$, f is called **plurisubharmonic in distribution** if the distribution it induces is plurisubharmonic, while a distribution $T \in \mathcal{D}'(U)$ is called **plurisubharmonic** when

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Strict plurisubharmonicity

Let us say that a distribution $T \in \mathcal{D}'(U)$ is **strictly plurisubharmonic** when there exists $\psi \in C^\infty(U)$ positive such that

$$\sum_{j,k=1}^n \frac{\partial^2 T}{\partial z_j \partial \bar{z}_k}(\phi) t_j \bar{t}_k \geq \left(\int_U \psi \cdot \phi \, d\lambda \right) \|t\|^2, \text{ for all } \phi \geq 0 \text{ in } \mathcal{D}(U) \text{ and } t \in \mathbb{C}^n,$$

and in case ψ is a constant $M > 0$, call T **strongly plurisubharmonic**.

Given an upper semicontinuous function $g : U \subset X \rightarrow [-\infty, \infty)$, let us call it **strictly plurisubharmonic on average** when we can find a positive $\varphi \in C^\infty(U)$ such that for all $a \in U$ and $b \in X$ of small norm,

$$\varphi(a) \|b\|^2 + g(a) \leq \frac{1}{2\pi} \int_0^{2\pi} g(a + e^{i\theta} b) d\theta, \quad (3)$$

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In finite dimension, strict plurisubharmonicity of a C^2 function $F : U \subset \mathbb{C}^n \rightarrow [-\infty, \infty)$ is known to be equivalent to having, for each $a \in U$, a nonempty ball $B(a, r) \subset U$ and a constant $\varepsilon > 0$ such that $F - \varepsilon \|\cdot\|^2$ is plurisubharmonic on $B(a, r)$, but this is no longer true in infinite dimension.

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Example (O.-C. and Santillán Zerón, 2018)

Consider the space of complex sequences $(z_n) \subset \mathbb{C}^{\mathbb{N}}$ such that

$$\|(z_n)\| = \sqrt{\sum_{k=1}^{\infty} |z_k|^2 / k^2} < \infty,$$

which is a Hilbert space, call it X .

Consider the function on X , $F(z) = \sum_{k=1}^{\infty} |z_k|^2 / k^3$, which has positive definite **complex Hessian**

$$D'D''F(z)(w, w) = \sum_{k=1}^{\infty} |w_k|^2 / k^3.$$

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Proposition

If U is an open domain in a Banach space X , a function $f \in C^2(U, \mathbb{R})$ is strictly plurisubharmonic iff it is strictly plurisubharmonic on average.

Remark

A function $F : U \subset X \rightarrow [-\infty, \infty)$ that locally admits an $\varepsilon > 0$ such that $F - \varepsilon \|\cdot\|^2$ is plurisubharmonic may not be strictly plurisubharmonic on average, since the squared norm is plurisubharmonic but may not be strictly plurisubharmonic on average. This is the case in $X = \ell_\infty$.

Remark

Strictly plurisubharmonic functions on average without two degrees of differentiability exist in infinite-dimensional ambient spaces, such as the norm of ℓ_1 : $\|(z_n)\|_1 = \sum_{n=1}^{\infty} |z_n|$.

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Example

Spaces whose norm is strictly plurisubharmonic on average include the 2-uniformly PL-convex spaces, where a Banach space X is 2-uniformly PL-convex if for some $0 < q < \infty$ there exists $\lambda > 0$ such that,

$$\left(\frac{1}{2\pi} \int_0^{2\pi} \|a + e^{i\theta} b\|^q d\theta\right)^{1/q} \geq (\|a\|^2 + \lambda \|b\|^2)^{1/2}$$

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Theorem

Let U be an open domain in \mathbb{C}^n with C^2 boundary. Then U is *strongly pseudoconvex* if and only if there exist a positive constant L , a neighborhood V of bU and $\rho \in C^2(V)$ a defining function of bU such that,

- $\sum_{j,k=1}^n \frac{\partial^2(-\log|\rho|)}{\partial z_j \partial \bar{z}_k}(a) b_j \bar{b}_k \geq \frac{L}{|\rho(a)|} \|b\|^2$ for all $a \in U \cap V$ and $b \in \mathbb{C}^n$.
- $|\nabla \rho(a)| \geq M$ for all $a \in U \cap V$.

If $U \subset \mathbb{C}^n$ is an open domain with C^1 boundary, let us call U *strongly pseudoconvex* if there exist a positive constant L , a neighborhood V of bU and $\rho \in C^1(V)$ a defining function of bU such that for $a \in U \cap V$ and $b \in \mathbb{C}^n$ of small norm,

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- $\sum_{j,k=1}^n \frac{\partial^2(-\log|\rho|)}{\partial z_j \partial \bar{z}_k}(a) b_j \bar{b}_k \geq \frac{L}{|\rho(a)|} \|b\|^2$ for all $a \in U \cap V$ and $b \in \mathbb{C}^n$.
- $|\nabla \rho(a)| \geq M$ for all $a \in U \cap V$.

If $U \subset \mathbb{C}^n$ is an open domain with C^1 boundary, let us call U **strongly pseudoconvex** if there exist a positive constant L , a neighborhood V of bU and $\rho \in C^1(V)$ a defining function of bU such that for $a \in U \cap V$ and $b \in \mathbb{C}^n$ of small norm,

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A domain $\Omega \subset \mathbb{C}^n$ with C^1 boundary is **strongly pseudoconvex** when there exists a C^1 defining function of $b\Omega$ which is **strongly plurisubharmonic on average**.

For $p \in (1, 2]$, the space $L_p(\Sigma, \Omega, \mu)$ has strongly pseudoconvex unit ball.

Let us call a bounded domain in \mathbb{C}^n **strongly pseudoconvex** when it is exhausted by strongly pseudoconvex domains Ω_n with C^1 boundary having defining functions r_n of $b\Omega_n$, i.e. $r_n : U \supset b\Omega \rightarrow \mathbb{R}$ for which there exist constants $L, M > 0$ satisfying that for all $p \in U \cap \Omega_n$ and $t \in \mathbb{C}^n$,

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Table: Plurisubharmonicity notions

Concept	Conditions	Equation	Examples
Plurisubharmonic $f : U \rightarrow [-\infty, \infty)$	upper semicont.	$f(a) \leq \frac{1}{2\pi} \int_0^{2\pi} f(a + e^{i\theta}b) d\theta$ $a \in U, b$ arbitrary	$\operatorname{Re}(F), \operatorname{Im}(F), (\ F\ _p)^m, \log(\ F\ _p)$ for F holomorphic and $1 \leq p \leq \infty$
C^2 plurisubharmonic f on U	C^2 smooth	$\sum_{j,k=1}^n \frac{\partial^2 f}{\partial z_j \partial \bar{z}_k}(a) b_j \bar{b}_k \geq 0$ $a \in U, b$ arbitrary	$\operatorname{Re}(F), \operatorname{Im}(F), (\ F\ _p)^m, \log(\ F\ _p)$ for F holomorphic and $1 < p < \infty$
Strictly p.s.h. on av. $f : U \rightarrow [-\infty, \infty)$	f upper semicont.	$\varphi(a)\ b\ ^2 + f(a) \leq \frac{1}{2\pi} \int_0^{2\pi} f(a + e^{i\theta}b) d\theta$ $\varphi > 0 \in C^\infty(U), a \in U, \ b\ $ small	$\ \cdot\ _p$ for $1 \leq p \leq 2$, $\sum_{k=1}^\infty z_k ^2/k^3, \sum_{k=1}^\infty z_k ^2/k^2 < \infty$
C^2 strictly p.s.h. f on U	C^2 smooth	$\sum_{j,k=1}^n \frac{\partial^2 f}{\partial z_j \partial \bar{z}_k}(a) b_j \bar{b}_k \geq \varphi(a)\ b\ ^2$ $\varphi > 0 \in C^\infty(U), a \in U, b$ any	$\ \cdot\ _p$ for $p = 2$ outside $\{0\}$, $\sum_{k=1}^\infty z_k ^2/k^3, \sum_{k=1}^\infty z_k ^2/k^2 < \infty$
Strongly p.s.h. on av. C^2 strongly p.s.h.	upper semicont. C^2 smooth	$L\ b\ ^2 + f(a) \leq \frac{1}{2\pi} \int_0^{2\pi} f(a + e^{i\theta}b) d\theta$ $\sum_{j,k=1}^n \frac{\partial^2 f}{\partial z_j \partial \bar{z}_k}(a) b_j \bar{b}_k \geq L\ b\ ^2$	$\ \cdot\ _p$ for $1 \leq p \leq 2$ $\ \cdot\ _p$ for $p = 2$ outside $\{0\}$

Table: Pseudoconvexity in \mathbb{C}^n

Concept	Conditions	Equation	Examples
Ψ -convex domain	inc. union of C^2 Ψ -convex domains	$\sum_{j,k=1}^n \frac{\partial^2 r_n}{\partial z_j \partial \bar{z}_k}(p) \zeta_j \bar{\zeta}_k \geq 0$ $\zeta \in T_p^{\mathbb{C}}(b\Omega_n), p \in b\Omega_n$	convex domains & domains of convergence
C^2 Ψ -convex domain	C^2 smooth boundary def. by r	$\sum_{j,k=1}^n \frac{\partial^2 r}{\partial z_j \partial \bar{z}_k}(p) \zeta_j \bar{\zeta}_k \geq 0$ $\zeta \in T_p^{\mathbb{C}}(b\Omega), p \in b\Omega$	$B_{\ell_p}^n, 1 < p < \infty; \{z \in \mathbb{C}^2 : z_1 z_2 < 1\}; \{z \in \mathbb{C} : \text{Im}z < (\text{Re}z)^2\}$
Strongly Ψ -convex	inc. union of C^2 str. Ψ - convex w. same bounds ⁺	$\sum_{j,k=1}^n \frac{\partial^2 r_n}{\partial z_j \partial \bar{z}_k}(p) \zeta_j \bar{\zeta}_k > L \ \zeta\ ^2$ & $ \nabla r_n(p) \geq M; p \in U \supset b\Omega$	$B_{\ell_p}^n, 1 \leq p \leq 2$
C^2 strictly Ψ -convex	C^2 smooth boundary def. by r	$\sum_{j,k=1}^n \frac{\partial^2 r}{\partial z_j \partial \bar{z}_k}(p) \zeta_j \bar{\zeta}_k > 0$ $\zeta \in T_p^{\mathbb{C}}(b\Omega), p \in b\Omega$	$B_{\ell_p}^n, p = 2$
C^2 strongly Ψ -convex	C^2 smooth boundary def. by r	$\sum_{j,k=1}^n \frac{\partial^2 r}{\partial z_j \partial \bar{z}_k}(p) \zeta_j \bar{\zeta}_k > L \ \zeta\ ^2$ & $ \nabla r(p) \geq M; p \in U \supset b\Omega$	$B_{\ell_p}^n, p = 2$

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THANK YOU!