## Bloch functions of a bounded symmetric domain

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Joint work with

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at Queen Mary College, Lodon,

## **1980 Timoney [35]**

Let  $\Omega \subset \mathbb{C}^n$  be bounded homogeneous domain,  $f: \Omega \to \mathbb{C}$  be holomorphic.

The following conditions on f are all equivalent:

(1) The function f is a Bloch function.

(2) The radii of the schlicht discs in the range of f are bounded above.

(3) As a function from the metric space  $(\Omega, \rho_{\Omega})$  to the metric space  $(\Omega, \|\cdot\|_e)$ , the function f is uniformly continuous, where  $\rho_{\Omega}$  is the Poincaré distance.

(4) The family  $\{(f \circ \phi)(z) - f(\phi(z_0)); \phi \in Aut(\Omega)\}$  is a normal family for some  $z_0 \in \Omega$ .

(5)  $\sup\{\|D(f\circ\phi)(z_0)\|;\phi\in\operatorname{Aut}(\Omega)\}<\infty.$ 

(6) The family  $\{f \circ g; g : U \to \Omega, \text{ hol }\}$  is a family of Bloch functions with uniformly bounded Bloch norm.

(7) The family  $\{(f \circ g)(z) - f(g(0)); g : U \to \Omega, \text{ hol }\}$  is a normal family.

(8)  $\sup\{Q_f^h(z):z\in\Omega\}<\infty,$ where  $Q_f^h(z):=\sup\left\{rac{|Df(z)x|}{H_z(x,ar{x})^{1/2}}:x\in\mathbb{C}^n\setminus\{0\}
ight\},$ 

 $H_z$  is the Bergman metric at z,





## $\mathbb{U}:=\{\zeta\in\mathbb{C}:|\zeta|<1\}:$ the unit disc in $\mathbb{C}$



 $\mathbb{U} := \{\zeta \in \mathbb{C} : |\zeta| < 1\}$ : the unit disc in  $\mathbb{C}$  $f : \mathbb{U} \to \mathbb{C}$ : a holomorphic function with f'(0) = 1.

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f maps a domain in  $\mathbb{U}$  biholomorphically onto a disc  $D = D(f(z_0), r(f)) \subset f(\mathbb{U});$  center  $f(z_0)$ , radius r(f), (called a *schlicht disc*)

i.e., 
$$\exists \varphi \in H(D)$$
 s.t.  $f(\varphi(z)) = z$  for  $z \in D$ .

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The 'best possible' constant B for all such functions,  $B := \inf\{r(f) : f \text{ is holomorphic on } U \text{ and } f'(0) = 1\},$ is called the Bloch constant.

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The classical Bloch space  $\boldsymbol{\mathcal{B}}$ 

the space of holomorphic functions  $f:\mathbb{U}
ightarrow\mathbb{C}$  satisfying

$$\|f\|_{Bloch}:=\sup_{z\in\mathbb{U}}(1-|z|^2)|f'(z)|<\infty$$

endowed with the norm

$$\|f\|_{\mathcal{B}}:=|f(0)|+\|f\|_{Bloch}<\infty$$

so that  $\mathcal{B} = (\mathcal{B}, \| \cdot \|_{\mathcal{B}})$  becomes a Banach space.

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(4) The family  $\{(f \circ \phi)(z) - f(\phi(0)); \phi \in Aut(\mathbb{U})\}$  is a normal family on  $\mathbb{U}$ .

(5)  $\sup\{|(f \circ \phi)'(0)|; \phi \in \operatorname{Aut}(\mathbb{U})\} < \infty.$ 

#### **1986 Holland and Walsh**

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### Bloch functions on the open unit disc ${\mathbb U}$ in ${\mathbb C}$

$$\sup_{z,w\in\mathbb{U},z
eq w}(1-|z|^2)^{1/2}(1-|w|^2)^{1/2}rac{|f(z)-f(w)|}{|z-w|}<\infty$$

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## The concept of a Bloch function on $\mathbb{U}$ has been extended to various complex domains in higher dimensions.

Especially,

## **1980 Timoney [35]**

for  $\mathbb{C}$ -valued Bloch functions  $f : \Omega \to \mathbb{C}$  on a finite dim bounded homogeneous domain  $\Omega \subset \mathbb{C}^n$ ,

(8) 
$$\sup\{Q_f^h(z):z\in\Omega\}<\infty,$$

where 
$$Q_f^h(z):= \sup\left\{rac{|Df(z)x|}{H_z(x,ar{x})^{1/2}}: x\in\mathbb{C}^n\setminus\{0\}
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**1992** X.Y.Liu [28] for hol  $f : \mathbb{B}^n \to \mathbb{C}^n$  on the Euclidean ball  $\mathbb{B}^n$  of  $\mathbb{C}^n$ , the family  $\{f \circ \varphi - (f \circ \varphi)(0) : \varphi \in \operatorname{Aut}(\mathbb{B}^n)\}$  is normal. **1992** X.Y.Liu [28] for hol  $f : \mathbb{B}^n \to \mathbb{C}^n$  on the Euclidean ball  $\mathbb{B}^n$  of  $\mathbb{C}^n$ , the family  $\{f \circ \varphi - (f \circ \varphi)(0) : \varphi \in \operatorname{Aut}(\mathbb{B}^n)\}$  is normal.

## **2014** Blasco, Galindo and Miralles [3] for hol $f : \mathbb{B}_H \to \mathbb{C}$ on the open unit ball $\mathbb{B}_H$ of a Hilbert space H,

$$\|f\|_{Bloch} := \sup_{z\in \mathbb{B}_{H}} (1-\|z\|^{2}) \|Df(z)\| < \infty$$

## **Preliminaries**

## Def. ( homogeneous )

## A domain G is said to be homogeneous if for $\forall x, \forall y \in G, \exists g \in Aut(G) \text{ s.t. } g(x) = y$

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W.Kaup [26] showed that the bounded symmetric domains in complex Banach spaces are exactly the open unit balls of JB\*-triples which are complex Banach spaces equipped with a Jordan triple structure.

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## **1983**

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A complex Banach space X is a JB\*-triple if, and only if, the open unit ball of X is homogeneous.

## **About JB\*-triple**

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A JB\*-triple is the cpx Banach space X equipped with triple product  $\{\cdot, \cdot, \cdot\} : X^3 \to X$ : for  $a, b, x, y, z \in X$ , (i) conjugate linear in the middle, linear and symmetric in the others (ii)  $\{a, b, \{x, y, z\}\}$ =  $\{\{a, b, x\}, y, z\} - \{x, \{b, a, y\}, z\} + \{x, y, \{a, b, z\}\}$ (iii)  $a \Box a : x \in X \mapsto \{a, a, x\} \in X$ : hermitian with nonneg spectr (iv)  $\|\{a, a, a\}\| = \|a\|^3$  Kobayashi metric  $\kappa$  for  $\mathbb{B}_X$ 

$$egin{aligned} \kappa(z,x) &:= & \inf \left\{ \eta > 0 : \exists \phi \in H(\mathbb{U},\mathbb{B}_X), \ & \phi(0) = z, D\phi(0)\eta = x 
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ight\} \end{aligned}$$

Then  $\kappa(0, x) = ||x||$  for all  $x \in X$ .

In particular,  $\kappa(z, \alpha x) = |lpha| \kappa(z, x)$  for all  $lpha \in \mathbb{C}$  gives

$$\kappa(z,x) \leq rac{\|x\|}{1-\|z\|^2} \quad (z \in \mathbb{B}_X, x \in X).$$
 (1)

#### Lemma 1

## Let $\dim X < \infty$ ,

 $H_z(x,\overline{x})$  denote the Bergman metric on  $\mathbb{B}_X$ .

Then  $\exists C > 0$ , s.t.

 $\kappa(z,x) \leq H_z(x,\overline{x})^{1/2} \leq C\kappa(z,x), \hspace{1em} z \in \mathbb{B}_X, x \in X.$ 

#### Lemma 1

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**Remark.** In infinite dimensional bounded domains, the Bergman metric is not available.

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The Kobayashi distance  $\rho$  on  $\mathbb{B}_X$ , which is the integral form of the infinitesimal Kobayashi metric  $\kappa$  and generalizes the Poincaré distance  $\rho_{\mathbb{U}}$  on  $\mathbb{U}$ , can be described by a Möbius transformation:

$$ho(a,b)= anh^{-1}\left\|g_{-a}(b)
ight\|$$
 for  $a,b\in\mathbb{B}_X.$ 

In particular,  $ho(a,0) = anh^{-1} \|a\|$ .

**Definition 2** Let  $\mathbb{B}_X$  be a bounded symmetric domain realized as the open unit ball of a  $JB^*$ -triple X. A function  $f \in H(\mathbb{B}_X, \mathbb{C})$  is called a Bloch function if

$$\sup\{Q_f(z):z\in \mathbb{B}_X\}<\infty,$$

where

$$Q_f(z):= \sup\left\{rac{|Df(z)x|}{\kappa(z,x)}: x\in X\setminus\{0\}
ight\}.$$

In finite dimensions, this definition coincides with the one in Timoney [35, Definition 3.3], where  $Q_f^h$  was defined in terms of the Bergman metric.

# For each $f \in H(\mathbb{B}_X, \mathbb{C})$ , we define the *Bloch semi-norm* of f by

 $\|f\|_{\mathcal{B}(\mathbb{B}_X),s} := \sup \{\|D(f \circ g)(0)\| : g \in \operatorname{Aut}(\mathbb{B}_X)\}.$ 

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For finite dimensional domains  $\mathbb{B}_X$ , the Bloch semi-norm  $\|\cdot\|_{\mathcal{B}(\mathbb{B}_X),s}$  defined above is equivalent to the Bloch 'norm' defined in Timoney [35, Definition 4.1], by Lemma 1 and the following lemma.

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For finite dimensional domains  $\mathbb{B}_X$ , the Bloch semi-norm  $\|\cdot\|_{\mathcal{B}(\mathbb{B}_X),s}$  defined above is equivalent to the Bloch 'norm' defined in Timoney [35, Definition 4.1], by Lemma 1 and the following lemma.

**Lemma 3** Given  $f \in H(\mathbb{B}_X, \mathbb{C})$ , we have

 $\|f\|_{\mathcal{B}(\mathbb{B}_X),s} = \sup\{Q_f(z): z\in \mathbb{B}_X\}.$
We equip  $\mathcal{B}(\mathbb{B}_X)$  with a norm,

## called the Bloch norm, defined by

$$\|f\|_{\mathcal{B}(\mathbb{B}_X)}:=|f(0)|+\|f\|_{\mathcal{B}(\mathbb{B}_X),s}\quad (f\in\mathcal{B}(\mathbb{B}_X))$$

and call  $\mathcal{B}(\mathbb{B}_X)$  the *Bloch space* on  $\mathbb{B}_X$ , which is a Banach space.

**Definition 4** Let  $f : \mathbb{B}_X \to \mathbb{C}$  be a holomorphic function. A disc  $\Delta = \{w \in \mathbb{C} : |w - w_0| < r\}$ , where  $w_0 \in \mathbb{C}$  and r > 0, is called a schlicht disc in the range of f

#### $\Leftrightarrow$

there exists a holomorphic map  $h : \mathbb{U} \to \mathbb{B}_X$  so that  $f \circ h$ maps  $\mathbb{U}$  biholomorphically onto  $\Delta$ . **Definition 4** Let  $f : \mathbb{B}_X \to \mathbb{C}$  be a holomorphic function. A disc  $\Delta = \{w \in \mathbb{C} : |w - w_0| < r\}$ , where  $w_0 \in \mathbb{C}$  and r > 0, is called a schlicht disc in the range of f

#### $\Leftrightarrow$

there exists a holomorphic map  $h : \mathbb{U} \to \mathbb{B}_X$  so that  $f \circ h$ maps  $\mathbb{U}$  biholomorphically onto  $\Delta$ .

For each  $z_0 \in \mathbb{B}_X$ , we define a family  $F_f(z_0)$  of functions on  $\mathbb{B}_X$  by

$$F_f(z_0) = \{f \circ g - (f \circ g)(z_0) : g \in \operatorname{Aut}(\mathbb{B}_X)\}.$$

We recall that a family  $\mathcal{F} \subset H(\mathbb{U},\mathbb{C})$  is said to be normal if every sequence in  $\mathcal{F}$  admits a subsequence which converges uniformly on compact subsets of  $\mathbb{U}$ . We recall that a family  $\mathcal{F} \subset H(\mathbb{U},\mathbb{C})$  is said to be normal if every sequence in  $\mathcal{F}$  admits a subsequence which converges uniformly on compact subsets of  $\mathbb{U}$ .

A classical result states that  $\mathcal{F}$  is normal if and only if it is uniformly bounded on compact sets in  $\mathbb{U}$  (cf. Ahlfors [1, p. 216]). We recall that a family  $\mathcal{F} \subset H(\mathbb{U}, \mathbb{C})$  is said to be normal if every sequence in  $\mathcal{F}$  admits a subsequence which converges uniformly on compact subsets of  $\mathbb{U}$ .

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The next our theorem give some characterisations, which is a generalization of Timoney [35, Theorem 3.4] to infinite dimensional bounded symmetric domains.

### Chu, Hamada, Honda, Kohr (J. Fuct. Anal. (2017))

Theorem 5 Let  $\mathbb{B}_X$  be a bounded symmetric domain realized as the open unit ball of a JB\*-triple X and let  $f \in H(\mathbb{B}_X, \mathbb{C})$ . The following conditions are equivalent:

- (1) f is a Bloch function.
- (2) The radii of the schlicht discs in the range of f are bounded above.
- (3) f is uniformly continuous as a function from the metric space  $(\mathbb{B}_X, \rho)$  to the metric space  $(\mathbb{C}, |\cdot|_e)$ .
- (4) The family  $F_f(z_0)$  is bounded on  $\mathbb{B}(0,r)$  for 0 < r < 1and  $z_0 \in \mathbb{B}_X$ .

- $(5) \ \|f\|_{\mathcal{B}(\mathbb{B}_X),s} < \infty.$
- (6) The family  $\{f \circ h : h \in H(\mathbb{U}, \mathbb{B}_X)\}$  consists of Bloch functions on  $\mathbb{U}$  with uniformly bounded Bloch seminorm.
- (7) The family  $\{f \circ h (f \circ h)(0) : h \in H(\mathbb{U}, \mathbb{B}_X)\}$  is normal.
- (8)  $\sup\{Q_f(z):z\in\mathbb{B}_X\}<\infty,$  where

$$Q_f(z):= \sup\left\{rac{|Df(z)x|}{\kappa(z,x)}: x\in X\setminus\{0\}
ight\}.$$

**Corollary 6** (C-H-H-K (CpxAnal. Oper. Theory (2019))) Let f be a Bloch function on  $\mathbb{B}_X$ . Then we have

$$\|f\|_{\mathcal{B}(\mathbb{B}_X),s} = \sup_{z
eq w} rac{|f(z)-f(w)|}{
ho(z,w)}.$$

**Corollary 6** (C-H-H-K (CpxAnal. Oper. Theory (2019))) Let f be a Bloch function on  $\mathbb{B}_X$ . Then we have

$$\|f\|_{\mathcal{B}(\mathbb{B}_X),s} = \sup_{z 
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ho(z,w)}.$$

Using Corollary 6, we obtain the following generalization of Blasco, Galindo and Miralles [2, Theorem 3.3] to infinite dimensional bounded symmetric domains. **Theorem 7** Let  $\{f_n\}$  be a sequence of Bloch functions on a bounded symmetric domain  $\mathbb{B}_X$  converging uniformly on compact subsets of  $\mathbb{B}_X$  to some  $f \in H(\mathbb{B}_X, \mathbb{C})$ . **Theorem 7** Let  $\{f_n\}$  be a sequence of Bloch functions on a bounded symmetric domain  $\mathbb{B}_X$  converging uniformly on compact subsets of  $\mathbb{B}_X$  to some  $f \in H(\mathbb{B}_X, \mathbb{C})$ .

If the sequence  $\{ \| f_n \|_{\mathcal{B}(\mathbb{B}_X),s} \}$  is bounded,

then f is a Bloch function and

$$\|f\|_{\mathcal{B}(\mathbb{B}_X),s} \leq \liminf_{n o \infty} \|f_n\|_{\mathcal{B}(\mathbb{B}_X),s}.$$

In other words, the Bloch seminorm  $\|\cdot\|_{\mathcal{B}(\mathbb{B}_X),s}$  on  $\mathcal{B}(\mathbb{B}_X)$  is lower semi-continuous in the compact open topology.

#### **Holland-Walsh's characterization**

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### **1986 Holland and Walsh**

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Let  $\mathbb{B}$  be the open unit ball of a complex Banach space. For  $f \in H(\mathbb{B}, \mathbb{C})$ , we define

$$S(f):= \sup_{z,w\in \mathbb{B}, z
eq w} (1\!-\!\|z\|^2)^{1/2} (1\!-\!\|w\|^2)^{1/2} rac{|f(z)-f(w)|}{\|z-w\|}.$$

## 2005 Ren and Tu

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## C-H-H-K (Cpx Anal.Oper.Theory (2019))

**Theorem 8** Let  $\mathbb{B}_H$  be a Hilbert ball and  $f \in H(\mathbb{B}_H, \mathbb{C})$ . Then f is a Bloch function  $\iff S(f) < \infty$ .

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# f is a Bloch function on the Euclidean balls in $\mathbb{C}^n$ $\iff S(f) < \infty$

## C-H-H-K (Cpx Anal.Oper.Theory (2019))

**Theorem 8** Let  $\mathbb{B}_H$  be a Hilbert ball and  $f \in H(\mathbb{B}_H, \mathbb{C})$ . Then f is a Bloch function  $\iff S(f) < \infty$ .

**Hilbert space case 2014 Blasco, Galindo and Miralles [3]** f: a Bloch function on  $\mathbb{B}_H \iff$ 

$$\|f\|_{\mathcal{B}(\mathbb{B}_{H}),s} := \sup_{z\in\mathbb{B}_{H}}(1-\|z\|^{2})\|Df(z)\|<\infty$$

$$S(f)<\infty \Longleftrightarrow \sup_{z\in \mathbb{B}}(1-\|z\|^2)\|Df(z)\|<\infty.$$

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**Bounded Symmetric Domain**  $\mathbb{B}_X$  **Case** 

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**Bounded Symmetric Domain**  $\mathbb{B}_X$  **Case** 

 $f: \operatorname{\mathsf{Bloch}} \operatorname{\mathsf{ft}} \operatorname{\mathsf{on}} \mathbb{B}_X \Rightarrow \sup_{z\in \mathbb{B}_X} (1\!-\!\|z\|^2) \|Df(z)\| < \infty.$ 

$$S(f)<\infty \Longleftrightarrow \sup_{z\in \mathbb{B}}(1-\|z\|^2)\|Df(z)\|<\infty.$$

**Bounded Symmetric Domain**  $\mathbb{B}_X$  **Case** 

 $f: \operatorname{\mathsf{Bloch}}\operatorname{\mathsf{ft}}\operatorname{\mathsf{on}}\mathbb{B}_X \Rightarrow \sup_{z\in\mathbb{B}_X}(1{-}\|z\|^2)\|Df(z)\|<\infty.$ 

The following result follows from this and Lemma 9. **Proposition 10** Let f be a Bloch function on a bounded symmetric domain  $\mathbb{B}_X$ .  $\Longrightarrow S(f) < \infty$ .

$$S(f)<\infty \Longleftrightarrow \sup_{z\in \mathbb{B}}(1-\|z\|^2)\|Df(z)\|<\infty.$$

**Bounded Symmetric Domain**  $\mathbb{B}_X$  **Case** 

 $f: \operatorname{\mathsf{Bloch}}\operatorname{\mathsf{ft}}\operatorname{\mathsf{on}}\mathbb{B}_X \Rightarrow \sup_{z\in\mathbb{B}_X}(1{-}\|z\|^2)\|Df(z)\|<\infty.$ 

The following result follows from this and Lemma 9. **Proposition 10** Let f be a Bloch function on a bounded symmetric domain  $\mathbb{B}_X$ .  $\Longrightarrow S(f) < \infty$ .

**Question.** Is the converse of the previous result O.K. ?

Question. that is,  $S(f) < \infty \Longrightarrow$ ?

f is a Bloch function on a bdd symmetric domain  $\mathbb{B}_X$ .

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We recall that the Bergman operator  $B(z,z)^{-1/2}$  for the bidisc  $\mathbb{D} = \mathbb{U} \times \mathbb{U} \subset \mathbb{C}^2$  is given by

$$B(z,z)^{-1/2}(x) = igg(rac{x_1}{1-|z_1|^2}, rac{x_2}{1-|z_2|^2}igg)$$

for  $z=(z_1,z_2)\in\mathbb{U} imes\mathbb{U}$  and  $x=(x_1,x_2)\in\mathbb{C}^2.$ 

#### **Example 11** (counter-example)

Let  $\mathbb{D} = \mathbb{U} \times \mathbb{U}$  be the bidisc and let  $f : \mathbb{D} \to \mathbb{C}$  be defined by

$$f(z_1,z_2)=(1-z_2)\lograc{1}{1-z_1} \qquad (z_1,z_2)\in\mathbb{D}.$$

Then we have  $S(f) < \infty$ , but f is not a Bloch function.

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Then we have  $S(f) < \infty$ , but f is not a Bloch function.

This **counter-example** for the bidisc suggests that the criterion of Bloch functions in Theorem 8 for Hilbert balls is atypical for bounded symmetric domains.

Thank you for your attention ! We pray Prof. Richard Timoney rest in peace.

# References

- [1] L.V. Ahlfors. An extension of Schwarz's lemma, Trans. Amer. Math. Soc. 43 (1938) 359–364.
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