



# EXACT BRAIDS AND OCTAGONS

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# Quadratic Forms and their Applications

University College Dublin, July 5-9, 1999

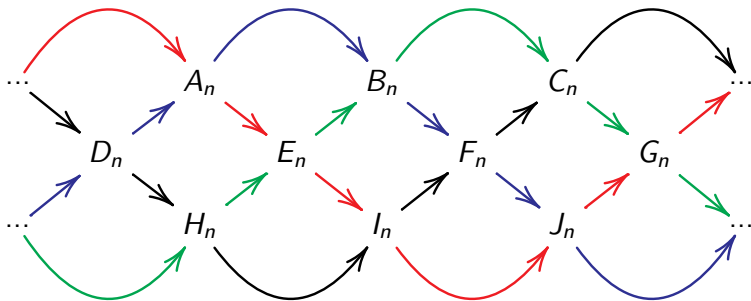


Quadratic Forms and Their Applications, Dublin, 5-9 July, 1999

Organized by Eva Bayer-Fluckiger, David Lewis and Andrew Ranicki.

## Exact braids

- An **exact braid** is a commutative diagram of 4 exact sequences



- The 4 exact sequences are

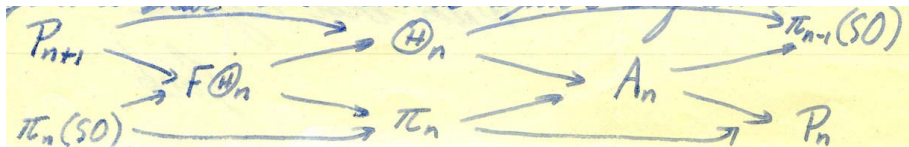
$$\begin{array}{cccccccc}
 \dots & \xrightarrow{\text{red}} & A_n & \xrightarrow{\text{red}} & E_n & \xrightarrow{\text{red}} & I_n & \xrightarrow{\text{red}} & J_n & \xrightarrow{\text{red}} & G_n & \xrightarrow{\text{red}} & \dots \\
 \dots & \xrightarrow{\text{blue}} & D_n & \xrightarrow{\text{blue}} & A_n & \xrightarrow{\text{blue}} & B_n & \xrightarrow{\text{blue}} & F_n & \xrightarrow{\text{blue}} & J_n & \xrightarrow{\text{blue}} & \dots \\
 \dots & \xrightarrow{\text{black}} & D_n & \xrightarrow{\text{black}} & H_n & \xrightarrow{\text{black}} & I_n & \xrightarrow{\text{black}} & F_n & \xrightarrow{\text{black}} & C_n & \xrightarrow{\text{black}} & \dots \\
 \dots & \xrightarrow{\text{green}} & H_n & \xrightarrow{\text{green}} & E_n & \xrightarrow{\text{green}} & B_n & \xrightarrow{\text{green}} & C_n & \xrightarrow{\text{green}} & G_n & \xrightarrow{\text{green}} & \dots
 \end{array}$$

## Brief history of exact braids

- ▶ Eilenberg and Steenrod (1952) Axiomatic treatment of Mayer-Vietoris exact sequences, with commutative diagrams.
- ▶ Kervaire-Milnor (1963), Levine (1965/1984). Application of braids to the classification of exotic spheres.
- ▶ Wall (1966) *On the exactness of interlocking sequences*.  
General theory: exactness of three sequences implies exactness of fourth. Applications in homology theory, simplifying the Eilenberg-Steenrod treatment of triples and the Mayer-Vietoris sequence.
- ▶ 1966 – ... Many applications in the surgery theory of high-dimensional manifolds (Wall, R., Hambleton-Taylor-Williams, Harsiladze ...)
- ▶ Hardie and Kamps (1985) Homotopy theory application.
- ▶ Iversen (1986) Triangulated category application.
- ▶ 1983 – ... Many applications in quadratic form theory of equivariant forms and Clifford algebras, via the exact octagons of Lewis et al.

## The first exact braid

- ▶ In a letter from Milnor to Kervaire, 29 June, 1961:



with  $\Theta_n = \pi_n(PL/O)$  the group of  $n$ -dimensional exotic spheres,  $F\Theta_n = \pi_n(PL)$  the group of framed  $n$ -dimensional exotic spheres,  $P_n = L_n(\mathbb{Z}) = \pi_n(G/PL)$  the simply-connected surgery obstruction group,  $\pi_n = \Omega_n^{fr} = \pi_n(G)$  the stable homotopy groups of spheres = the framed cobordism group,  $A_n = \pi_n(G/O)$  the almost framed cobordism group, and  $\pi_n(SO) \rightarrow \pi_n$  the  $J$ -homomorphism.

- ▶ Exact braids are sometimes called **Kervaire diagrams**.

## Homotopy and homology groups

- ▶ The **homotopy groups** of a space  $X$  are the groups of homotopy classes of maps  $S^n \rightarrow X$

$$\pi_n(X) = [S^n, X] \quad (n \geq 1).$$

- ▶ The **relative homotopy groups**  $\pi_n(X, Y)$  of a map of spaces  $Y \rightarrow X$  are the homotopy classes of commutative squares

$$\begin{array}{ccc} S^{n-1} & \longrightarrow & Y \\ \downarrow & & \downarrow \\ D^n & \longrightarrow & X \end{array}$$

with an exact sequence

$$\dots \rightarrow \pi_n(Y) \rightarrow \pi_n(X) \rightarrow \pi_n(X, Y) \rightarrow \pi_{n-1}(Y) \rightarrow \dots$$

- ▶ Similarly for **homology**  $H_*(X)$ ,  $H_*(X, Y)$ .

## Fibre squares

- ▶ A commutative square of spaces and maps

$$\begin{array}{ccc}
 Y & \longrightarrow & X^+ \\
 \downarrow & & \downarrow \\
 X^- & \longrightarrow & X
 \end{array}$$

is a **fibre square** if the natural maps of relative homotopy groups

$$\pi_*(X^+, Y) \rightarrow \pi_*(X, X^-)$$

are isomorphisms, or equivalently if the natural maps

$$\pi_*(X^-, Y) \rightarrow \pi_*(X, X^+)$$

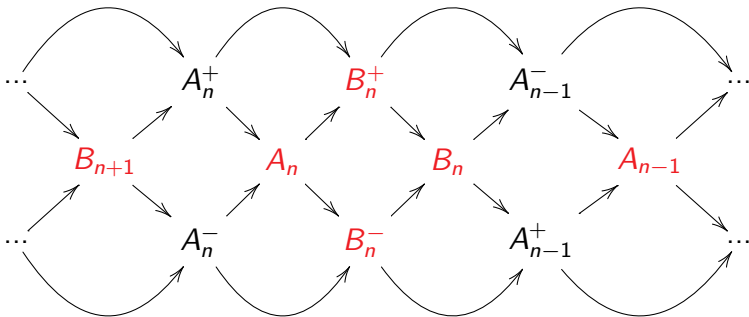
are isomorphisms.





## The Mayer-Vietoris sequence of an exact braid

- **Proposition** An exact braid



determines an exact sequence

$$\dots \longrightarrow B_{n+1} \longrightarrow A_n \longrightarrow B_n^+ \oplus B_n^- \longrightarrow B_n \longrightarrow A_{n-1} \longrightarrow \dots$$

- Exactness proved by diagram chasing.

## The Mayer-Vietoris exact sequence of a union

- Let  $X$  be a topological space with a decomposition

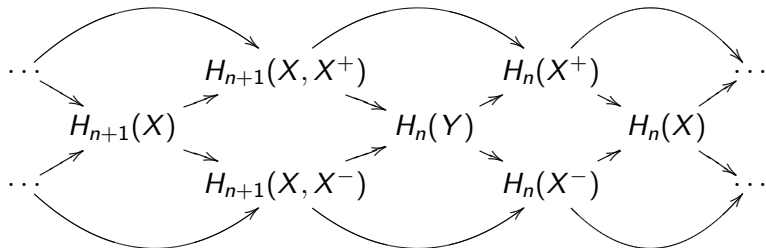
$$X = X^+ \cup_Y X^-$$

with  $X^+, X^-, Y \subseteq X$  closed subspaces,  $Y = X^+ \cap X^-$ .

- **Proposition** The excision isomorphisms

$$H_*(X^+, Y) \cong H_*(X, X^-), \quad H_*(X^-, Y) \cong H_*(X, X^+)$$

determine an exact braided commutative diagram of homology sequences

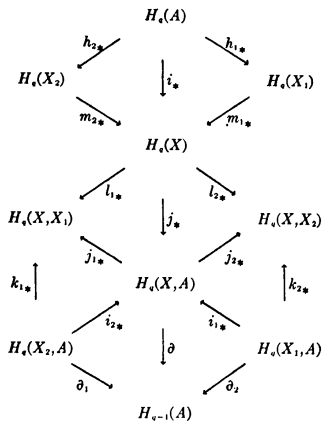


and hence the Mayer-Vietoris exact sequence

$$\cdots \Rightarrow H_{n+1}(X) \Rightarrow H_n(Y) \Rightarrow H_n(X^+) \oplus H_n(X^-) \Rightarrow H_n(X) \Rightarrow \cdots$$

## Almost an exact braid

- From Eilenberg and Steenrod, *Foundations of algebraic topology* (1952)

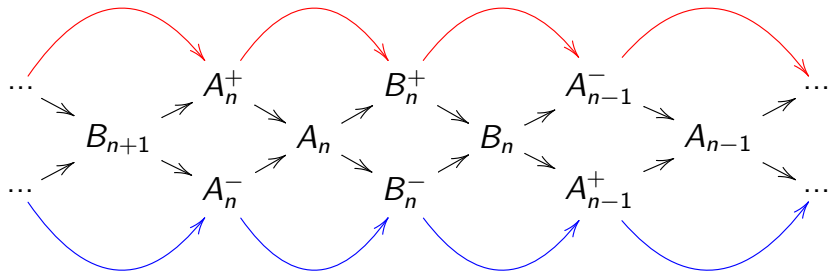


DEFINITION 15.2. The Mayer-Vietoris sequence of a proper triad  $(X; X_1, X_2)$  with  $X = X_1 \cup X_2$  and  $A = X_1 \cap X_2$  is the lower sequence

$$\cdots \leftarrow H_{q-1}(A) \xleftarrow{\Delta} H_q(X) \xleftarrow{\phi} H_q(X_1) + H_q(X_2) \xleftarrow{\psi} H_q(A) \leftarrow \cdots$$

## The homology isomorphisms

- **Proposition** The top and bottom rows of an exact braid



are chain complexes with isomorphic homology

$$\frac{\ker(B_n^+ \rightarrow A_{n-1}^-)}{\operatorname{im}(A_n^+ \rightarrow B_n^+)} \cong \frac{\ker(B_n^- \rightarrow A_{n-1}^+)}{\operatorname{im}(A_n^- \rightarrow B_n^-)}.$$

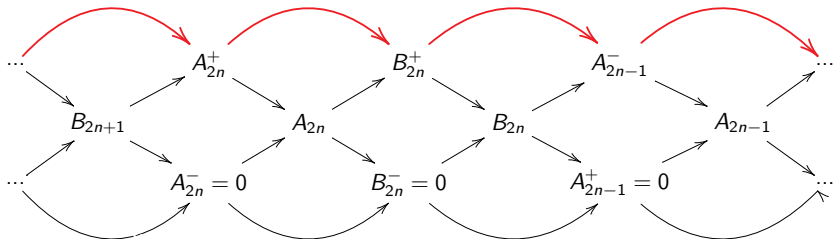
- The elements  $b^+ \in \ker(B_n^+ \rightarrow A_{n-1}^-)$ ,  $b^- \in \ker(B_n^- \rightarrow A_{n-1}^+)$  match up if and only if they have the same image in  $B_n$ .

## 4-periodicity

- An exact braid is **4-periodic** if

$$X_n = X_{n+4} \text{ for } X \in \{A, B, A^+, B^+, A^-, B^-\} .$$

- Proposition** For a 4-periodic exact braid with bottom row 0

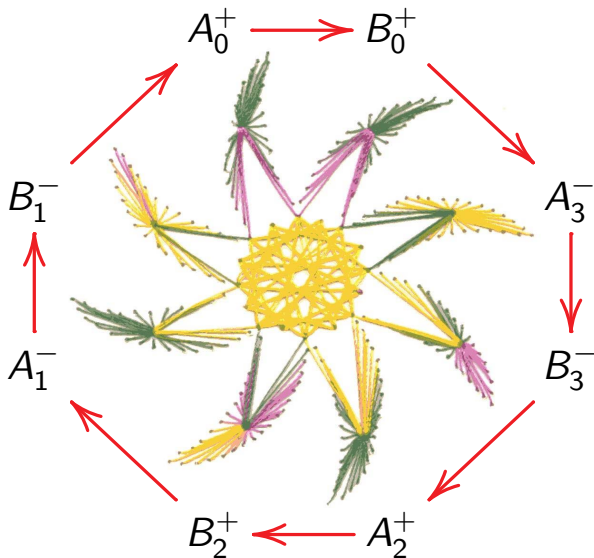


the top row is an exact sequence

$$\dots \longrightarrow A_{2n}^+ \longrightarrow B_{2n}^+ \longrightarrow A_{2n-1}^- \longrightarrow B_{2n-1}^- \longrightarrow A_{2n-2}^- \longrightarrow \dots$$

defining ...

The exact octagon of a 4-periodic exact braid with bottom row 0



## The coat of arms of the Isle of Man



## The surgery exact braid

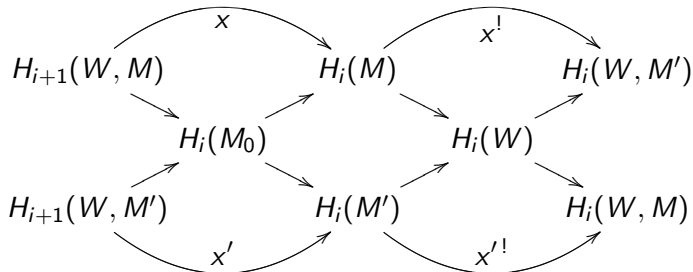
- Given an  $m$ -dimensional manifold  $M$  and  $x : S^n \times D^{m-n} \subset M$  define the  $m$ -dimensional manifold  $M'$  obtained from  $M$  by **surgery**

$$M' = M_0 \cup D^{n+1} \times S^{m-n-1} \text{ with } M_0 = \text{cl.}(M \setminus S^n \times D^{m-n}).$$

- The homology groups of the **trace cobordism**

$$(W; M, M') = (M \times I \cup D^{n+1} \times D^{m-n}; M, M')$$

fit into an exact braid



with  $H_{n+1}(W, M) = \mathbb{Z}$ ,  $H_{m-n}(W, M') = \mathbb{Z}$ ,  $= 0$  otherwise.



## Algebraic $L$ -theory via forms and automorphisms

- ▶ Wall (1970) defined the 4-periodic **algebraic  $L$ -groups**

$$L_n(A) = L_{n+4}(A)$$

of a ring with involution  $A$ . Applications to surgery theory of  $n$ -dimensional manifolds with  $n \geq 5$ .

- ▶  $L_{2k}(A)$  is the Witt group of nonsingular  $(-)^k$ -quadratic forms on f.g. free  $A$ -modules.
- ▶  $L_{2k+1}(A)$  is the commutator quotient of the stable unitary group of automorphisms of the hyperbolic  $(-)^k$ -quadratic forms on f.g. free  $A$ -modules.
- ▶ If  $X$  is an  $n$ -dimensional space with Poincaré duality and a normal vector bundle there is an obstruction in  $L_n(\mathbb{Z}[\pi_1(X)])$  to  $X$  being homotopy equivalent to an  $n$ -dimensional manifold.
- ▶ If  $f : M \rightarrow X$  is a normal homotopy equivalence of  $n$ -dimensional manifolds there is an obstruction in  $L_{n+1}(\mathbb{Z}[\pi_1(X)])$  to  $f$  being homotopic to a diffeomorphism.

## Algebraic $L$ -theory via Poincaré chain complexes

- ▶ (R., 1980) Expression of  $L_n(A)$  as the cobordism group of  $n$ -dimensional f.g. free  $A$ -module chain complexes

$$C : C_n \rightarrow C_{n-1} \rightarrow \cdots \rightarrow C_1 \rightarrow C_0$$

with an  $n$ -dimensional quadratic Poincaré duality

$$H^{n-*}(C) \cong H_*(C) .$$

- ▶ Quadratic Poincaré complexes  $C, C'$  are cobordant if there exists an  $(n+1)$ -dimensional f.g. free  $A$ -module chain complex  $D$  with chain maps  $C \rightarrow D, C' \rightarrow D$  and an  $(n+1)$ -dimensional quadratic Poincaré-Lefschetz duality

$$H^{n+1-*}(D, C) \cong H_*(D, C') .$$

- ▶ The 4-periodicity isomorphisms are defined by double suspension

$$L_n(A) \rightarrow L_{n+4}(A) ; C \mapsto S^2 C$$

with  $(S^2 C)_r = C_{r-2}$ .

## Induction in $L$ -theory

- ▶ A morphism of rings with involution  $f : A \rightarrow B$  determines an **induction** functor of additive categories with duality involution

$$f_! : \{\text{f.g. free } A\text{-modules}\} \rightarrow \{\text{f.g. free } B\text{-modules}\} ; M \mapsto B \otimes_A M$$

- ▶ (R., 1980) The relative  $L$ -group  $L_n(f_!)$  in the exact sequence

$$\dots \longrightarrow L_n(A) \xrightarrow{f_!} L_n(B) \longrightarrow L_n(f_!) \longrightarrow L_{n-1}(A) \longrightarrow \dots$$

is the cobordism group of pairs  $(D, C)$  with  $C$  an  $(n-1)$ -dimensional quadratic Poincaré complex over  $A$  and  $D$  a null-cobordism of  $f_! C$  over  $B$

$$L_n(f_!) \rightarrow L_{n-1}(A) ; (D, C) \mapsto C .$$

## Restriction in $L$ -theory

- ▶ A morphism of rings with involution  $f : A \rightarrow B$  with  $B$  f.g. free as an  $A$ -module determines the **restriction** functor

$$f^! : \{\text{f.g. free } B\text{-modules}\} \rightarrow \{\text{f.g. free } A\text{-modules}\} ; N \mapsto N$$

- ▶ (R., 1980) The relative  $L$ -group  $L_n(f^!)$  in the exact sequence

$$\cdots \longrightarrow L_n(B) \xrightarrow{f^!} L_n(A) \longrightarrow L_n(f^!) \longrightarrow L_{n-1}(B) \longrightarrow \cdots$$

is the cobordism group of pairs  $(D, C)$  with  $C$  an  $(n-1)$ -dimensional quadratic Poincaré complex over  $B$  and  $D$  a null-cobordism of  $f^!C$  over  $A$

$$L_n(f^!) \rightarrow L_{n-1}(B) ; (D, C) \mapsto C .$$

## Quadratic extensions of a ring with involution

- ▶ Given a ring  $A$  and a non-square central unit  $a \in A^\bullet$  let

$$A[\sqrt{a}] = A[t]/(t^2 - a)$$

be the quadratic extension of  $A$  adjoining the square roots of  $a$ .

- ▶ Given an involution  $A \rightarrow A; x \mapsto \bar{x}$  with  $\bar{\bar{a}} = a$  let  $A[\sqrt{a}]^+$ ,  $A[\sqrt{a}]^-$  denote the ring  $A[\sqrt{a}]$  with the involution on  $A$  extended by

$$\begin{aligned} A[\sqrt{a}]^+ &\rightarrow A[\sqrt{a}]^+ ; x + y\sqrt{a} \mapsto x + y\sqrt{a} , \\ A[\sqrt{a}]^- &\rightarrow A[\sqrt{a}]^- ; x + y\sqrt{a} \mapsto x - y\sqrt{a} . \end{aligned}$$

with the inclusions denoted by

$$i^+ : A \rightarrow A[\sqrt{a}]^+ , i^- : A \rightarrow A[\sqrt{a}]^- .$$

## The Witt groups of a quadratic extension of a field

- ▶ **Proposition** (Jacobson 1940, Milnor and Husemoller 1973)

Let  $K$  be a field with the identity involution, of characteristic  $\neq 2$ , and let  $J = K[\sqrt{a}]$  be a quadratic extension for some non-square  $a \in K^\bullet$ .

The Witt groups of  $J^+$ ,  $J^-$ ,  $K$  are related by an exact sequence

$$0 \longrightarrow L_0(J^-) \xrightarrow{(i^-)^\dagger} L_0(K) \xrightarrow{i_!^+} L_0(J^+)$$

with  $i^+ : K \rightarrow J^+$ ,  $i^- : K \rightarrow J^-$  the inclusions

- ▶  $(i^-)^\dagger$  is a special case of the Scharlau transfer for the Witt groups of finite algebraic extensions of fields.
- ▶ There is also a version for characteristic 2.

## $\mathbb{R}$ and $\mathbb{C}$

- ▶ **Example** For  $K = \mathbb{R}$  with the identity involution and  $a = -1$

$$K[\sqrt{a}]^+ = \mathbb{C} \text{ with identity involution ,}$$

$$K[\sqrt{a}]^- = \mathbb{C} \text{ with complex conjugation .}$$

- ▶ The signatures and mod 2 rank define isomorphisms

$$\text{signature}/2 : L_0(\mathbb{C}^-) \cong \mathbb{Z} ,$$

$$\text{signature} : L_0(\mathbb{R}) \cong \mathbb{Z} ,$$

$$\text{mod 2 rank} : L_0(\mathbb{C}^+) \cong \mathbb{Z}_2$$

- ▶ The Witt groups are related by the exact sequence

$$0 \longrightarrow L_0(\mathbb{C}^-) = \mathbb{Z} \xrightarrow{2} L_0(\mathbb{R}) = \mathbb{Z} \longrightarrow L_0(\mathbb{C}^+) = \mathbb{Z}_2 \longrightarrow 0$$

## *L*-theory excision of quadratic extensions

- ▶ Browder and Livesay (1967), Wall (1970), Lopez de Medrano (1971) and Hambleton (1982) worked on the surgery obstruction theory for splitting homotopy equivalences of manifolds along codimension 1 submanifolds with nontrivial normal bundle, such as  $\mathbb{R}P^n \subset \mathbb{R}P^{n+1}$ , giving codimension 1 isomorphisms of relative *L*-groups for group rings.
- ▶ **Proposition** (R. 1987) For any ring with involution *A* the relative *L*-groups of induction and restriction of the inclusions

$$i^+ : A \rightarrow A[\sqrt{a}]^+ , \quad i^- : A \rightarrow A[\sqrt{a}]^-$$

are related by isomorphisms

$$L_n(i_1^+) \xrightarrow{\cong} L_{n+1}(i_1^-) ; (D, C) \mapsto (SD, SC) ,$$

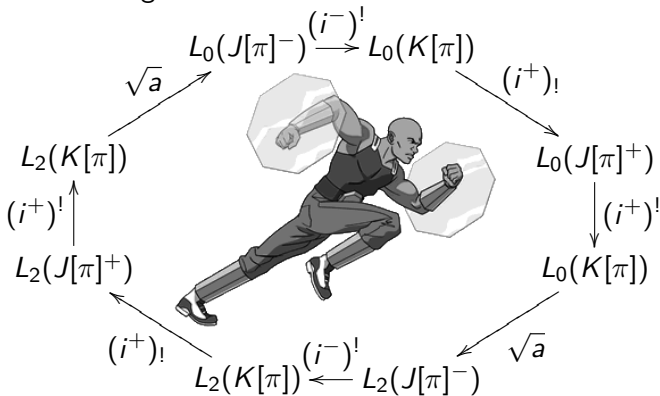
$$L_n((i^-)!) \xrightarrow{\cong} L_{n+1}((i^+)!) ; (D, C) \mapsto (SD, SC)$$

with  $SC_r = C_{r-1}$ .



## Some results of David Lewis

- ▶ (1977) The computation of  $L_{2*}(\mathbb{R}[\pi])$  for a finite group  $\pi$  in terms of the multisignature.
- ▶ (1983/5) The extensions of the Milnor-Husemoller exact sequence to exact octagons of Witt groups of  $J[\pi]^+$ ,  $J[\pi]^-$ ,  $K[\pi]$  for a finite group  $\pi$ , and to Clifford algebras



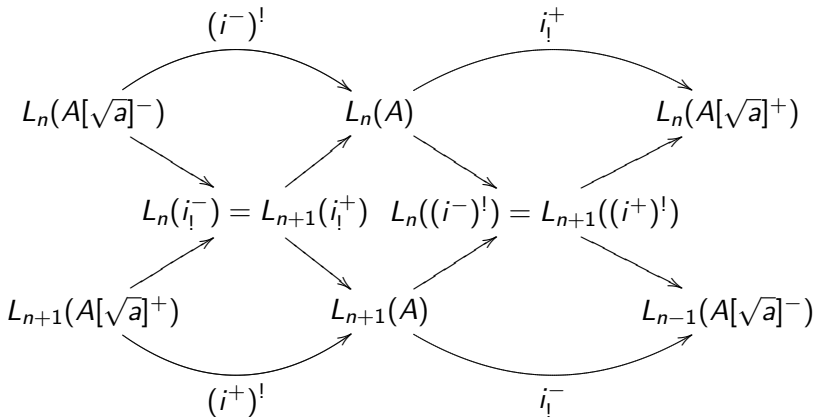
## The $L$ -theory exact braid of a quadratic extension

- **Proposition** (Hambleton-Taylor-Williams, R. 1984, 1987, 1992)

The isomorphisms

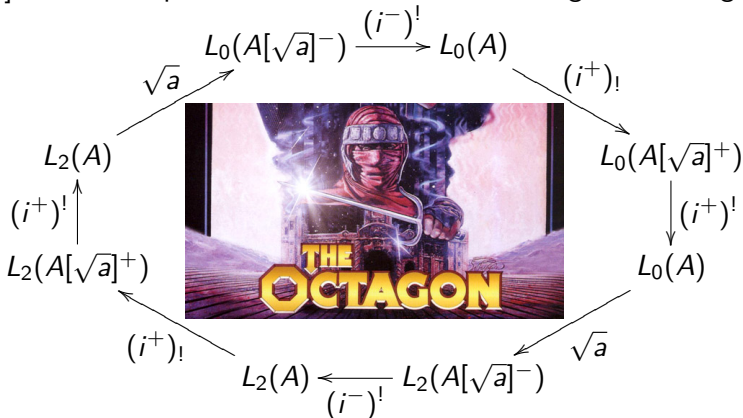
$$L_*(i_!^+) \cong L_{*+1}(i_!^-), \quad L_*((i^-)!) \cong L_{*+1}((i^+)!)$$

determine an exact braid of  $L$ -groups



## The $L$ -theory exact octagon of a quadratic extension

- ▶ **Proposition** (R. 1978)  $L_{2*+1}(A) = 0$  for a semisimple  $A$ .
- ▶ **Proposition** (Warshauer 1982, Lewis 1983/5, Hambleton, Taylor and Williams 1984, R. 1992, Grenier-Boley and Mahmoudi 2005) If  $A$  and  $A[\sqrt{a}]$  are semisimple there is defined an exact octagon of Witt groups



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