

# Symmetrising operations, symmetric bilinear forms and central simple algebras

Seán McGarraghy

University College Dublin

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# Outline

- 1 Exterior powers of forms and the Witt-Grothendieck ring
  - Preliminaries
  - Exterior powers
  - The Witt-Grothendieck ring as a  $\lambda$ -ring
- 2 Schur powers of forms
  - Immanants, idempotents and symmetrisers
  - Schur polynomials
- 3 Central simple algebras with involution
  - $\lambda$ -powers of central simple algebras and extensions
  - Current and future work

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## Preliminaries

### Question

*Exterior and other symmetrising powers of quadratic forms arise from actions of the symmetric group; can we define something similar for central simple algebras with involution?*

*Usual disclaimer:* Let  $K$  be a field of characteristic  $\neq 2$ . All vector spaces will be finite-dimensional over  $K$ .

### Notation

Let  $\widehat{W}(K)^+$  denote the commutative cancellation semi-ring of isometry classes of symmetric bilinear forms under  $\perp$  and  $\otimes$ , and let  $\widehat{W}(K)$  be its Grothendieck completion, the **Witt-Grothendieck ring** of  $K$ . Then  $W(K)$  is the quotient of  $\widehat{W}(K)$  by the ideal generated by hyperbolic spaces.

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## Exterior powers

If  $V$  is a  $K$ -vector space with  $\dim V = n$ , then  $\dim \bigwedge^k V = \binom{n}{k}$  (taken to be 0 for all  $k > n$ ). Also

$$\bigwedge^k (V \oplus W) = \bigoplus_{i+j=k} \bigwedge^i V \otimes \bigwedge^j W.$$

What about bilinear forms?

### Definition

Let  $\varphi : V \times V \rightarrow K$  be a bilinear form and let  $k \in \mathbb{Z}$ ,  $1 \leq k \leq n$ . Define the  $k$ -fold **exterior power**  $\bigwedge^k \varphi : \bigwedge^k V \times \bigwedge^k V \rightarrow K$  by

$$\bigwedge^k \varphi(x_1 \wedge \cdots \wedge x_k, y_1 \wedge \cdots \wedge y_k) = \det (\varphi(x_i, y_j))_{1 \leq i, j \leq k}.$$

We define  $\bigwedge^0 \varphi := \langle 1 \rangle$ , the identity form of dimension 1.  
 For  $k > n$  we define  $\bigwedge^k \varphi$  to be the zero form, since  $\bigwedge^k V = 0$ .

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Then  $\bigwedge^k \varphi$  is a bilinear form, and is symmetric if  $\varphi$  is symmetric.

We have a similar definition for hermitian forms. We can define exterior powers of a quadratic form via its associated polar.

The exterior power construction also works for certain rings in which 2 is invertible, e.g., local rings.

### Theorem

*$\bigwedge^k$  is a (covariant) functor on the category of bilinear forms, and respects symmetry and non-singularity of forms.*

Thus, if  $\varphi \simeq \psi$ , then  $\bigwedge^k \varphi \simeq \bigwedge^k \psi$ ; so  $\bigwedge^k$  is well-defined on  $\widehat{W}(K)$ .

However,  $\varphi$  hyperbolic  $\not\Rightarrow \bigwedge^k \varphi$  hyperbolic, as  $\binom{2m}{k}$  may be odd.

Thus  $\bigwedge^k$  is *not* well-defined on  $W(K)$ .

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# $\lambda$ -rings

## Definition

A  $\lambda$ -**ring** is a commutative ring  $R$  with 1, and with unary operations  $\lambda^n : R \rightarrow R$ , for  $n = 0, 1, 2, \dots$  such that for all  $x, y \in R$ :

- (i)  $\lambda^0(x) = 1$ ;
- (ii)  $\lambda^1(x) = x$ ;
- (iii)  $\lambda^n(x + y) = \sum_{i=0}^n \lambda^i(x) \lambda^{n-i}(y)$ .

## Definition

Equivalently: for  $x \in R$ , consider the formal power series in the indeterminate  $t$  defined by  $\lambda_t(x) = \lambda^0(x) + \lambda^1(x)t + \lambda^2(x)t^2 + \dots$ . Then the conditions are (i), (ii) and

$$(iii') \quad \lambda_t(x + y) = \lambda_t(x)\lambda_t(y).$$

We say that  $x \in R$  is of **finite degree**  $n$  if  $\lambda_t(x)$  is a polynomial of degree  $n$ .

For  $x = x_1 + \dots + x_n$ , each  $x_i$  of degree 1,  $\lambda^k(x)$  acts like the **elementary symmetric polynomial**

$$E_k = \sum_{1 \leq i_1 < \dots < i_k \leq n} x_{i_1} \cdots x_{i_k}.$$

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As with vector spaces:

### Theorem

For  $\varphi, \psi \in \widehat{W}(K)$ , we have  $\Lambda^k(\varphi \perp \psi) = \bigsqcup_{i+j=k} \Lambda^i \varphi \otimes \Lambda^j \psi$ .

Since  $\Lambda^0 \varphi$  is by definition the identity form, we have that  $\widehat{W}(K)$  is a  $\lambda$ -ring with the exterior powers  $\Lambda^k$  acting as the  $\lambda$ -operations.

The degree of  $\varphi \in \widehat{W}(K)^+$  is  $\dim \varphi$  since  $\Lambda^k \varphi = 0$  for all  $k > \dim \varphi$ .

Since every form is a sum of 1-forms (each of  $\lambda$ -ring degree 1):

$$\Lambda^k \langle a_1, \dots, a_n \rangle = \bigsqcup_{1 \leq i_1 < \dots < i_k \leq n} \langle a_{i_1} \cdots a_{i_k} \rangle = E_k(\langle a_1 \rangle, \dots, \langle a_n \rangle).$$

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## Definition

Let  $R$  be a  $\lambda$ -ring, let  $x \in R$  and let  $k$  be a positive integer. We define the  $k^{\text{th}}$  **Adams operation**,  $\Psi^k$ , by

$$\Psi^k(x) := P_k(x).$$

There is a very simple characterisation of Adams operations in the Witt-Grothendieck  $\lambda$ -ring:

## Proposition

Let  $n, k \in \mathbb{N}$  and let  $\varphi$  be an  $n$ -dimensional form. Then, in  $\widehat{W}(K)$ ,

$$\Psi^k(\varphi) = \begin{cases} n \times \langle 1 \rangle, & \text{for } k \text{ even;} \\ \varphi, & \text{for } k \text{ odd.} \end{cases}$$

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Using elementary row and column operations on  $(*)$  and setting  $k = n + 1$  gives

### Theorem

*Let  $(V, \varphi)$  be a symmetric bilinear space of dimension  $n$ . Then*

$$(n + 1)! \times \wedge^{n+1} \varphi = p_n(\varphi) \text{ in } \widehat{W}(K).$$

Since  $\binom{n}{n+1} = 0$ , we have recovered David Lewis's result:

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*Let  $(V, \varphi)$  be a symmetric bilinear space of dimension  $n$ . Then the polynomial  $p_n$  annihilates the Witt class of  $\varphi$  in  $W(K)$ .*

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# Partitions

Let  $K$  be of characteristic 0. We'll see three main extensions of the exterior powers, the first two based on symmetrising projection operators in the group algebra  $K[S_k]$ .

## Definition

Let  $k$  be a positive integer. A **partition** of  $k$  is a sequence of non-negative integers

$$\pi = [\pi_1, \pi_2, \pi_3, \dots]$$

with  $\pi_1 \geq \pi_2 \geq \pi_3 \geq \dots$  and  $\sum_i \pi_i = k$ . We write  $\pi \vdash k$ .

Can we extend the idea of  $k$ -fold exterior power — which is associated to the partition  $[1^k] = [1, 1, \dots, 1]$  of  $k$  — to other partitions of  $k$ ?

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## Idempotents: Young Symmetrisers

As each conjugacy class in  $S_k$  is determined by its cycle structure, each  $\pi \vdash k$  gives an irreducible character,  $\chi_\pi$ , of  $S_k$ . One defines a primitive idempotent, the **Young symmetriser**  $c_\pi$ , in  $K[S_k]$ . (We need to fix a tableau: a labelling of the Young diagram of  $\pi$ .)

*Weyl construction:*  $\mathbf{GL}(V)$  acts diagonally on  $V^{\otimes k}$  on the left. Also  $S_k$  acts on  $V^{\otimes k}$  (on the right) by permuting factors,

$$(v_1 \otimes \cdots \otimes v_k) \cdot \sigma = v_{\sigma(1)} \otimes \cdots \otimes v_{\sigma(k)}.$$

Thus  $c_\pi$  may be viewed as an endomorphism of  $V^{\otimes k}$ .

We denote the image of  $V^{\otimes k}$  under  $c_\pi$  by  $\mathbb{S}^\pi V := \text{Im}(c_\pi|_{V^{\otimes k}})$ . It is an irreducible representation of  $\mathbf{GL}(V)$ .

$\mathbb{S}^\pi$  is a functor from the category of  $K$ -vector spaces to itself.

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# Immanants

## Definition

Let  $A = (a_{ij})$  be a  $k \times k$  matrix. Let  $\chi$  be a (complex) character of the symmetric group  $S_k$ . Then the **immanant of  $A$  associated to  $\chi$**  is

$$d_\chi(A) := \sum_{\sigma \in S_k} \chi(\sigma) a_{1\sigma(1)} \cdots a_{k\sigma(k)}.$$

(Recall: every complex character of  $S_k$  is integer-valued.)

The determinant is the immanant associated to the sign character;  
the permanent is the immanant associated to the trivial character.



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## Central idempotents

We also have a central idempotent,

$$\eta_\pi = \frac{\deg(\chi_\pi)}{k!} \sum_{\sigma \in S_k} \chi_\pi(\sigma) \sigma \in K[S_k]$$

and we write

$$\mathbb{P}^\pi V := \text{Im}(\eta_\pi|_{V^{\otimes k}}) = \text{Span} \{ v_1 * \cdots * v_k : v_1, \dots, v_k \in V \}.$$

We can define  $\mathbb{P}^\pi \varphi : \mathbb{P}^\pi V \times \mathbb{P}^\pi V \rightarrow K$  by the immanant

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*The functors  $\mathbb{P}^\pi$  and  $\mathbb{S}^\pi$  are well-defined on isometry classes of forms and in  $\widehat{W}(K)$ .*

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The **Schur polynomial** associated to  $\pi \vdash k$  in  $n$  indeterminates  $X_1, \dots, X_n$  is the symmetric homogeneous polynomial of degree  $k$

$$s_\pi = s_\pi(X_1, \dots, X_n) = \frac{\det(X_j^{\pi_i + n - i})}{\det(X_j^{n - i})}.$$

It has non-negative integer coefficients: the **Kostka numbers**.

*Note:*  $\dim \mathbb{S}^\pi V = s_\pi(1, \dots, 1)$ .

The polynomial Schur power of a diagonalised s.b.f.

$\varphi = \langle a_1, \dots, a_n \rangle : V \times V \longrightarrow K$  (or its class in  $\widehat{W}(K)^+$ ) is

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## Question

How far can definitions on vector spaces/elements of  $\widehat{W}(K)$  be carried over to

- central simple  $K$ -algebras with involution;
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In [KMRT, 1998],  $\lambda$ -powers of central simple algebras with involution are defined using an  $S_k$  action (c.f. Weyl construction).

The **Goldman element** is the unique element  $\alpha \in A \otimes_K A$  such that  $\text{Sand}(\alpha) = \text{Trd}_A$ , the reduced trace.

$\alpha$  plays the role of a transposition in this sense: if  $A = \text{End}_K V$ , then, identifying  $A \otimes_K A = \text{End}_K(V \otimes_K V)$ ,  $\alpha$  is defined by

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Let  $k \geq 1$ . There is a natural homomorphism  $\alpha_k : S_k \longrightarrow (A^{\otimes k})^\times$  such that in case  $A = \text{End}_K V$ , and identifying  $A^{\otimes k} = \text{End}_K(V^{\otimes k})$ ,

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Given a tableau on  $\pi$ , we can also define an element  $e_\pi$  of  $A^{\otimes k}$  analogous to a Young symmetriser.

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If  $A$  is split, there are natural isomorphisms of  $A^{\otimes k}$ -modules:

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As in [KMRT], each of these is a central simple  $K$ -algebra, Brauer-equivalent to  $A^{\otimes k}$ , with degree as given by the reduced dimensions in the lemma. There are natural isomorphisms  $\mathbb{S}^\pi \text{End}_K V = \text{End}_K \mathbb{S}^\pi V$  and  $\mathbb{P}^\pi \text{End}_K V = \text{End}_K \mathbb{P}^\pi V$ .

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We can use Schur powers of hermitian forms to get induced (adjoint) involutions on Schur powers of central simple algebras.

The adjoint involution to  $h^{\otimes k}$  is  $\tau_{h^{\otimes k}} = (\tau_h)^{\otimes k}$ .

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*Can we apply Schur polynomials to*

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## Definition

A **partial abelian monoid** (PAM, for short) is a nonempty set  $M$  together with a partially defined binary relation  $\oplus$  with domain  $P \subseteq M \times M$  satisfying the following conditions.

- P1 (Commutativity). If  $aPb$  then  $bPa$  and  $a \oplus b = b \oplus a$ .
- P2 (Associativity). If  $aPb$  and  $(a \oplus b)Pc$  then  $bPc$  and  $aP(b \oplus c)$ , and  $(a \oplus b) \oplus c = a \oplus (b \oplus c)$ .
- P3 (Neutral element). There is a (necessarily unique) element  $0 \in M$  such that for all  $a \in M$  we have  $0Pa$  and  $a \oplus 0 = a$ .

Then the set  $Y(K)^+$  of isomorphism classes of central simple  $K$ -algebras with involution under  $\perp$  is a PAM, where only Morita-equivalent ones are related.

## Definition

A **partial abelian monoid** (PAM, for short) is a nonempty set  $M$  together with a partially defined binary relation  $\oplus$  with domain  $P \subseteq M \times M$  satisfying the following conditions.

- P1 (Commutativity). If  $aPb$  then  $bPa$  and  $a \oplus b = b \oplus a$ .
- P2 (Associativity). If  $aPb$  and  $(a \oplus b)Pc$  then  $bPc$  and  $aP(b \oplus c)$ , and  $(a \oplus b) \oplus c = a \oplus (b \oplus c)$ .
- P3 (Neutral element). There is a (necessarily unique) element  $0 \in M$  such that for all  $a \in M$  we have  $0Pa$  and  $a \oplus 0 = a$ .

Then the set  $Y(K)^+$  of isomorphism classes of central simple  $K$ -algebras with involution under  $\perp$  is a PAM, where only Morita-equivalent ones are related.

## Speculation

**Idea:** Consider Grothendieck completion  $Y(K)$  of  $Y(K)^+$  under  $\perp$ , restricting to where  $\perp$  makes sense. Cancellation seems unlikely!

*Note:* Don't collapse hyperbolic involutions to one point as in [Lewis, 2000], since exterior powers not well-defined on Witt ring

Need to check:

- Tensor product  $\otimes$  on elements of  $Y(K)$  distributes over  $\perp$ : would make  $Y(K)$  a partial ring
- Formula

$$\Lambda^k((A, \sigma) \perp (B, \tau)) = \bigsqcup_{i+j=k} \Lambda^i(A, \sigma) \otimes \Lambda^j(B, \tau)$$

holds: would make  $Y(K)$  a partial  $\lambda$ -ring. (Evidence so far: the degrees add correctly)

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## Possible outcomes

Then polynomials in one indeterminate which are either even or odd (or homogeneous) would make sense in  $Y(K)$ , as all the summands will be Morita equivalent.

Thus determinantal formulas (e.g., Giambelli) could be used to define polynomial Schur powers on  $Y(K)$ .

If there are reasonably straightforward expressions for Adams operations, we may even be able to define annihilating polynomials in  $Y(K)$ , since these polynomials are necessarily either even or odd (they must respect the signature).

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