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If the amplitude of the motion is small, the horizontal structure is then governed by a system equivalent to the **linear shallow water equations**.

These equations were first derived by **Laplace** in his discussion of tides in the atmosphere and ocean.

They are called the **Laplace Tidal Equations**.

The Laplace Tidal Equations



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The **basic state variables** satisfy the gas law, and are in hydrostatic balance:

$$\bar{p} = \mathcal{R}\bar{\rho}T_0 \quad \text{and} \quad \frac{d\bar{p}}{dz} = -g\bar{\rho}$$

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The variations of mean pressure and density follow:

$$\bar{p}(z) = p_0 \exp(-z/H), \quad \bar{\rho}(z) = \rho_0 \exp(-z/H),$$

where $H = p_0/g\rho_0 = \mathcal{R}T_0/g$ is the atmospheric scale-height.

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Exercise: Confirm this.

We consider only motions for which the vertical component of velocity vanishes identically, $w \equiv 0$.

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The horizontal momentum, continuity and thermodynamic equations become

$$\frac{\partial \bar{\rho} u}{\partial t} - f \bar{\rho} v + \frac{\partial p}{\partial x} = 0$$

$$\frac{\partial \bar{\rho} v}{\partial t} + f \bar{\rho} u + \frac{\partial p}{\partial y} = 0$$

$$\frac{\partial \rho}{\partial t} + \nabla \cdot \bar{\rho} \mathbf{V} = 0$$

$$\frac{1}{\gamma \bar{p}} \frac{\partial p}{\partial t} - \frac{1}{\bar{\rho}} \frac{\partial \rho}{\partial t} = 0$$

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Density can be eliminated from the continuity equation by means of the thermodynamic equation.

We then get **three equations for u , v and p .**

We now assume that the horizontal and vertical dependencies of the perturbation quantities are **separable**:

$$\begin{pmatrix} \bar{\rho}u \\ \bar{\rho}v \\ p \end{pmatrix} = \begin{pmatrix} U(x, y, t) \\ V(x, y, t) \\ P(x, y, t) \end{pmatrix} Z(z) .$$

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$$\begin{aligned} \frac{\partial U}{\partial t} - fV + \frac{\partial P}{\partial x} &= 0 \\ \frac{\partial V}{\partial t} + fU + \frac{\partial P}{\partial y} &= 0 \\ \frac{\partial P}{\partial t} + (gh)\nabla \cdot \mathbf{V} &= 0 \end{aligned}$$

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This is a set of three equations for U , V , and P .

They are mathematically isomorphic to the **Laplace Tidal Equations** with a mean depth h (called the **equivalent depth**).

The Vertical Structure Equation

The **vertical structure** follows from the hydrostatic equation, together with the relationship $p = (\gamma g H)\rho$ implied by the thermodynamic equation.

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Solutions with more general vertical structures, and with non-vanishing vertical velocity, may be derived.

Vorticity and Divergence

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By means of the **Helmholtz Theorem**, a general horizontal wind field \mathbf{V} may be partitioned into **rotational and divergent components**

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By differentiating the momentum equations, we get equations for the vorticity and divergence tendencies, e.g.,

$$\frac{\partial\zeta}{\partial t} = \frac{\partial}{\partial t} \left(\frac{\partial v}{\partial x} - \frac{\partial u}{\partial y} \right) = \frac{\partial}{\partial x} \left(\frac{\partial v}{\partial t} \right) - \frac{\partial}{\partial y} \left(\frac{\partial u}{\partial t} \right)$$

The vorticity, divergence and continuity equations are

$$\begin{aligned}\frac{\partial \zeta}{\partial t} + f\delta + \beta v &= 0 \\ \frac{\partial \delta}{\partial t} - f\zeta + \beta u + \nabla^2 P &= 0 \\ \frac{\partial P}{\partial t} + gh\delta &= 0.\end{aligned}$$

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This system is equivalent to the **Laplace Tidal Equations**.
No additional approximations have been made ...

... however, the vorticity and divergence forms enable us
to examine various simple approximate solutions.

Mathematical Interlude

The eigenfunctions of the Laplacian operator on the sphere are called **spherical harmonics**:

$$Y_n^m(\lambda, \phi) = \exp(im\lambda)P_n^m(\phi)$$

where $P_n^m(\phi)$ are the **associated Legendre functions**.

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The ‘beta-term’ in the vorticity equation may be written

$$\beta v = \frac{2\Omega \cos \phi}{a} \left(\frac{1}{a \cos \phi} \frac{\partial \psi}{\partial \lambda} + \frac{1}{a} \frac{\partial \chi}{\partial \lambda} \right)$$

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For quasi-non-divergent flow ($|\delta| \ll |\zeta|$) it becomes

$$\beta v \approx \frac{2\Omega}{a^2} \frac{\partial \psi}{\partial \lambda}$$

Rossby-Haurwitz Modes

If we suppose that the solution is **quasi-nondivergent** (that is, $|\delta| \ll |\zeta|$), the wind is given approximately in terms of the stream function $(u, v) \approx (-\psi_y, \psi_x)$.

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We can immediately deduce an expression for the frequency:

$$\nu = \nu_R \equiv -\frac{2\Omega m}{n(n+1)}.$$

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The Rossby or Rossby-Haurwitz waves are, to the first approximation, **non-divergent waves which travel westward**, the phase speed being greatest for the waves of largest scale.

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a diagnostic relationship between the geopotential and the stream function.

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This also follows immediately from the assumption that the wind is both non-divergent and geostrophic:

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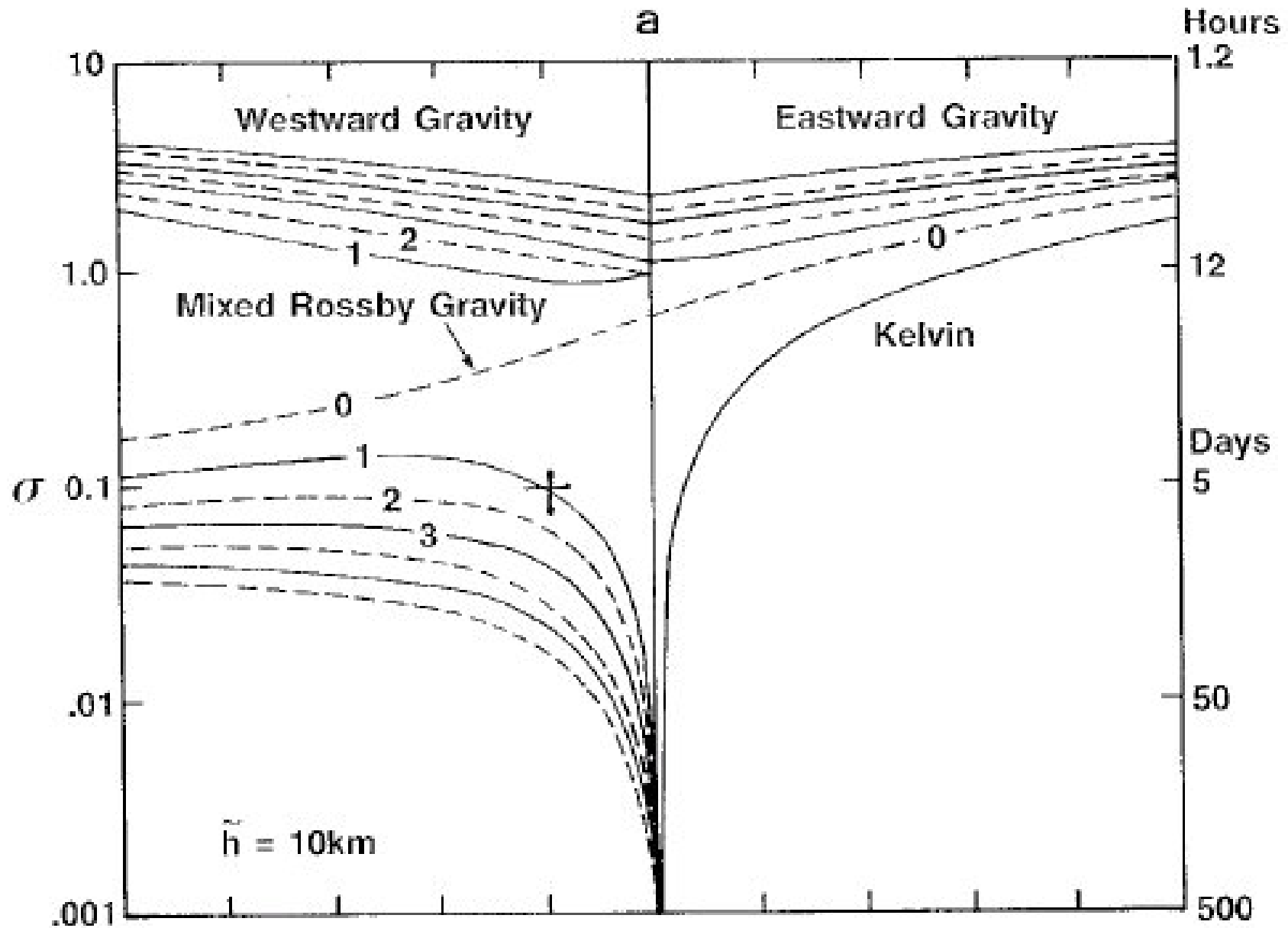
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$$\mathbf{V} = \mathbf{k} \times \nabla \psi \quad \text{and} \quad f\mathbf{V} = \mathbf{k} \times \nabla P$$

If variations of f are ignored, we can assume $P = f\psi$. The **wind and pressure are in approximate geostrophic balance** for Rossby-Haurwitz waves.



The eigenmodes of the Laplace Tidal Equations ($h = 10 \text{ km}$).

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Then we find that

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The coefficient of the second term is just the Rossby-Haurwitz frequency ν_R , so that

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the frequency of pure gravity waves.

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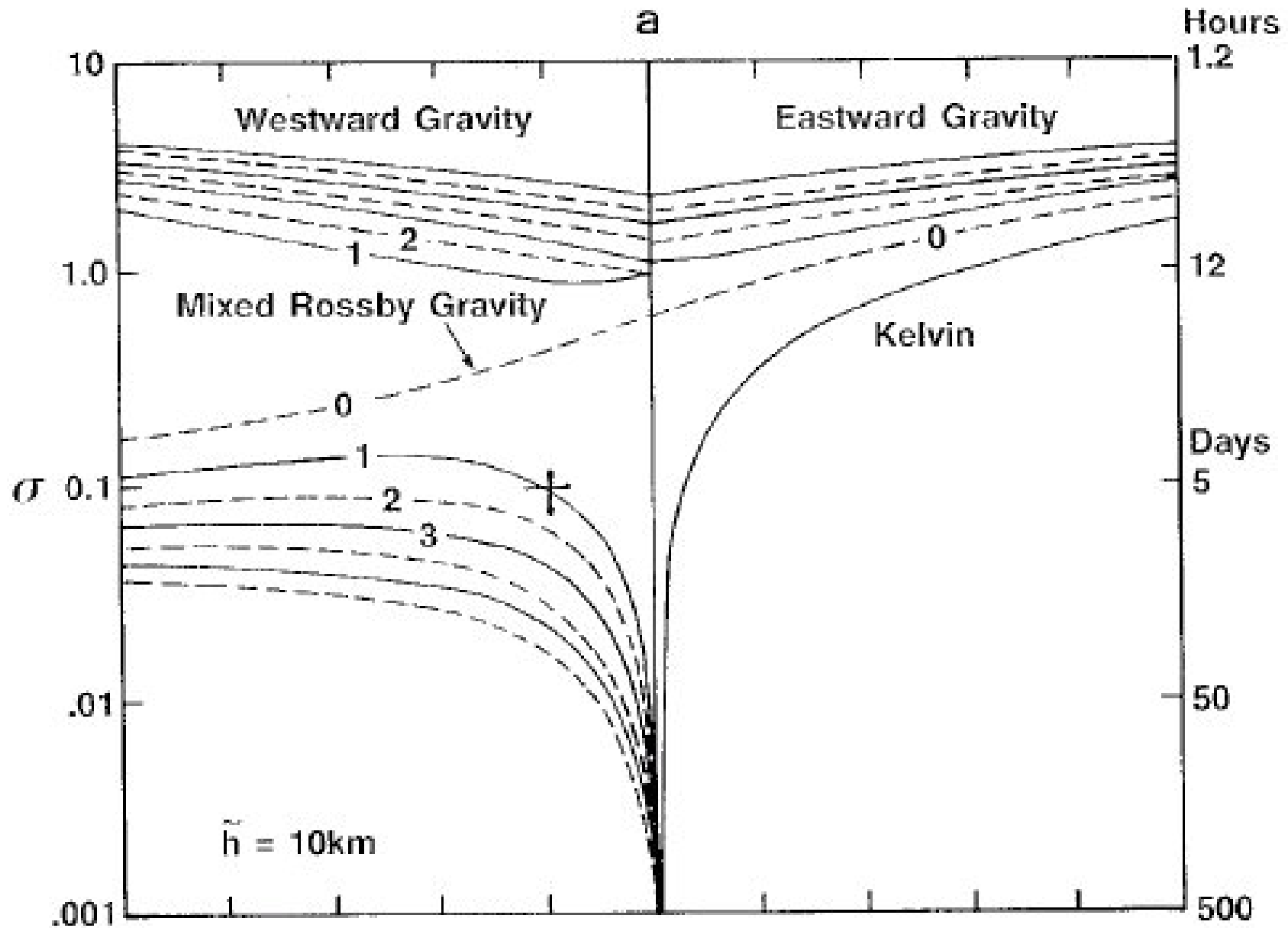
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The frequency increases approximately linearly with the total wavenumber n .



The eigenmodes of the Laplace Tidal Equations ($h = 10 \text{ km}$).

Break here

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In general there are n eigenvectors for an $n \times n$ matrix.

For a symmetric matrix, the eigenvalues are real and the eigenvectors are orthogonal.

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Normal Mode Initialization



Let \mathbf{X} be the state vector of dependent variables.

The model equations can be written schematically as

$$\dot{\mathbf{X}} + i\mathbf{L}\mathbf{X} + \mathbf{N}(\mathbf{X}) = \mathbf{0}$$

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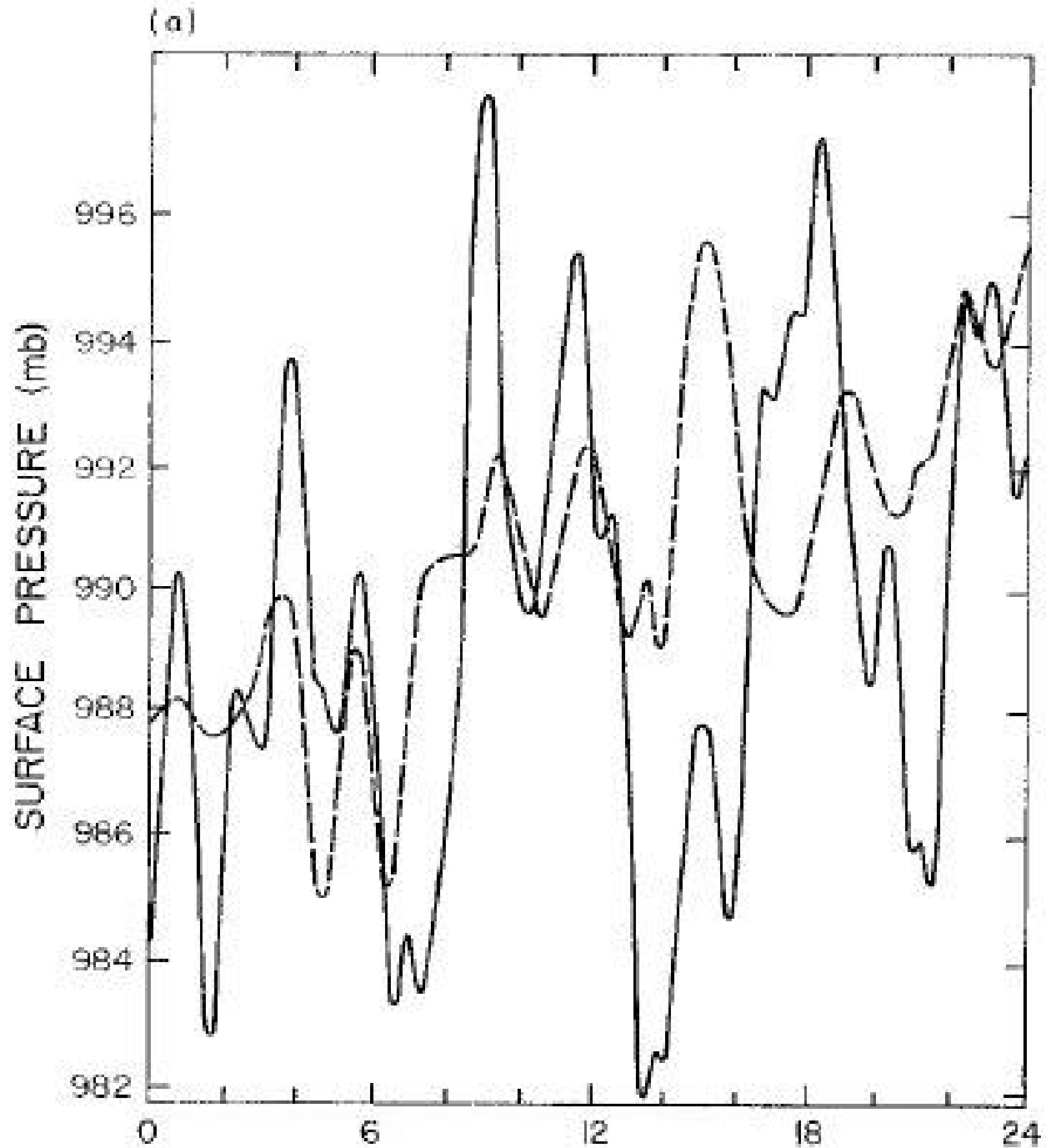
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The problem of noise remains: the gravity waves are small to begin with, but they grow rapidly.



Surface pressure evolution: No Initialization and LNMI.

To control the growth of HF components, Machenhauer (1977) proposed setting their **initial rate-of-change to zero**, in the hope that they would remain small throughout the forecast.

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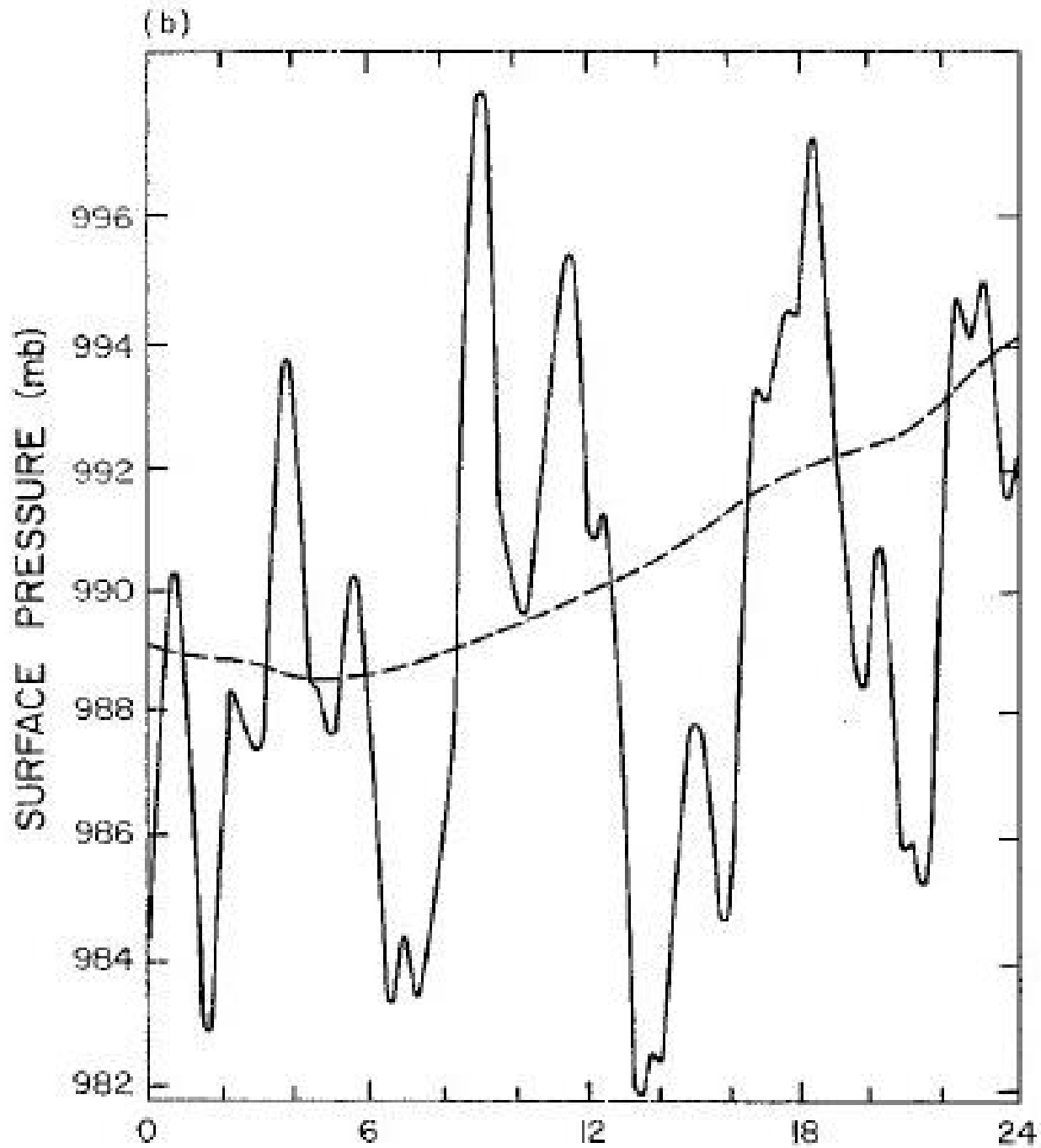
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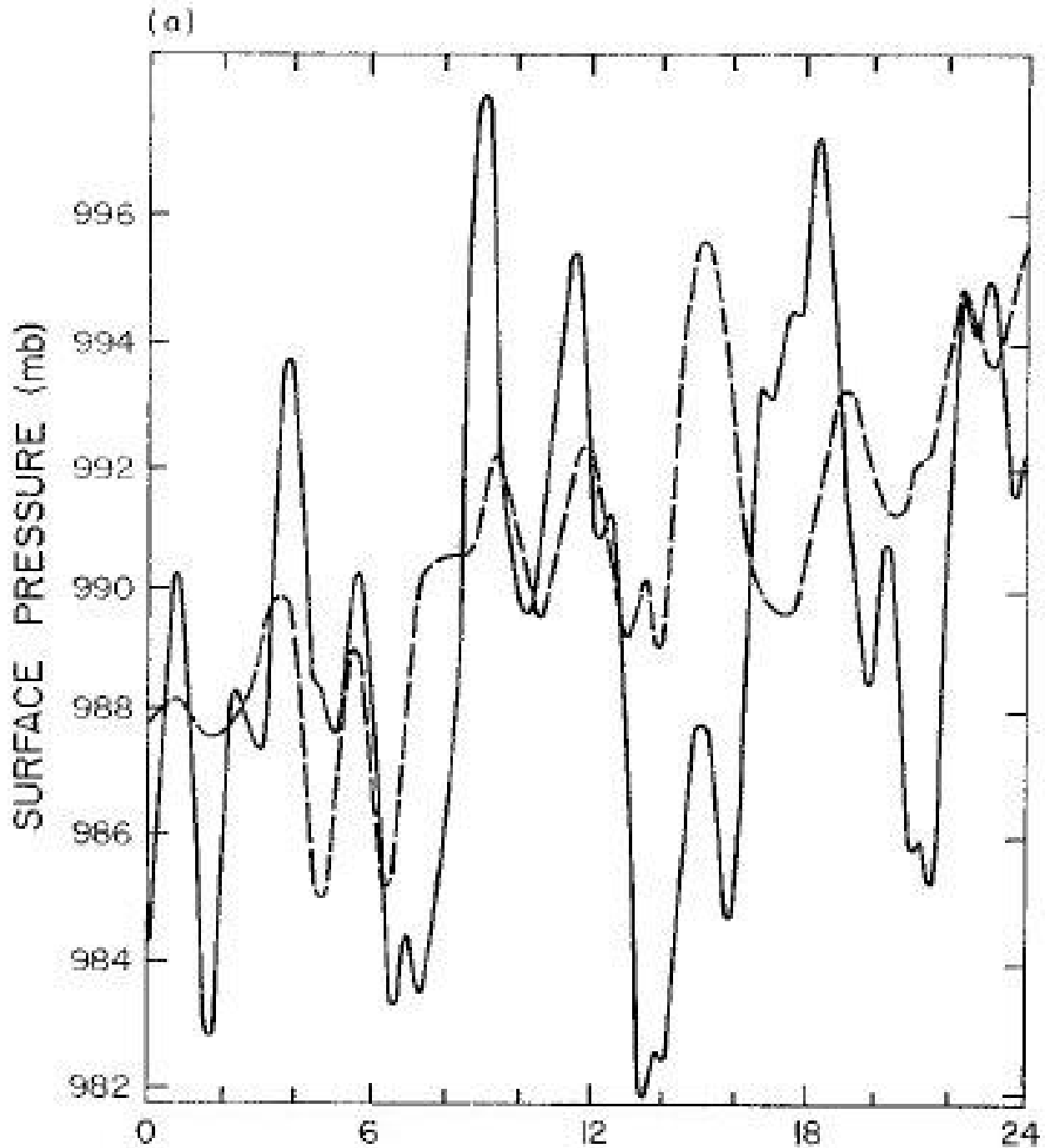
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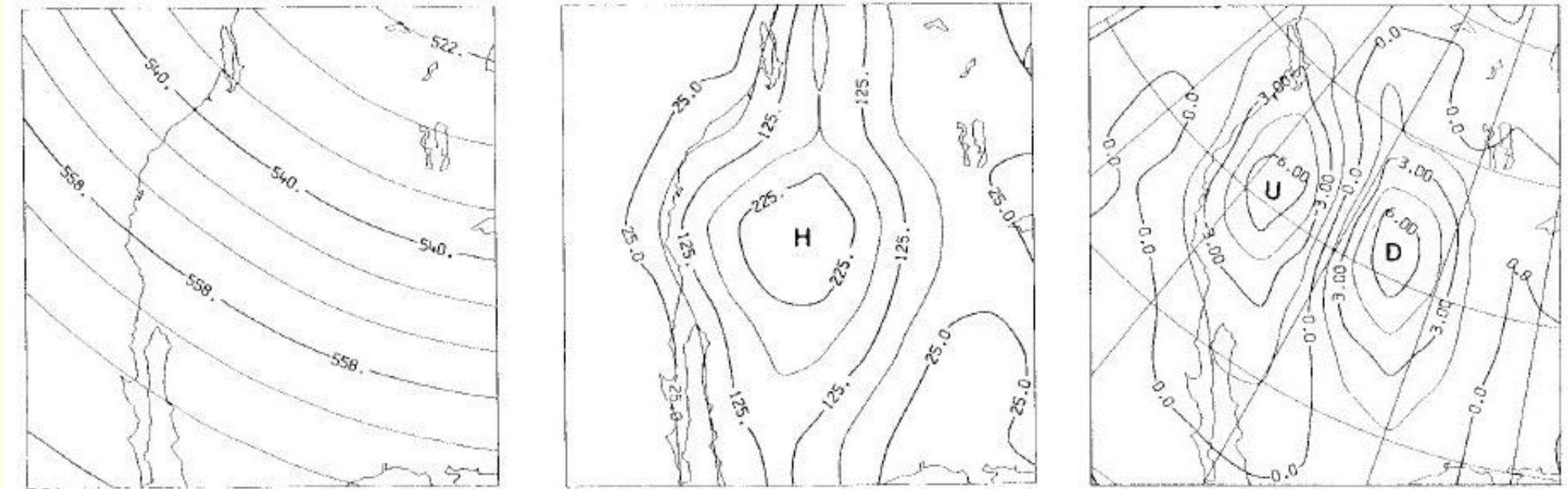
The method takes account of the nonlinear nature of the equations, and is referred to as **nonlinear normal mode initialization**.



Surface pressure evolution: No Initialization and NNMI.

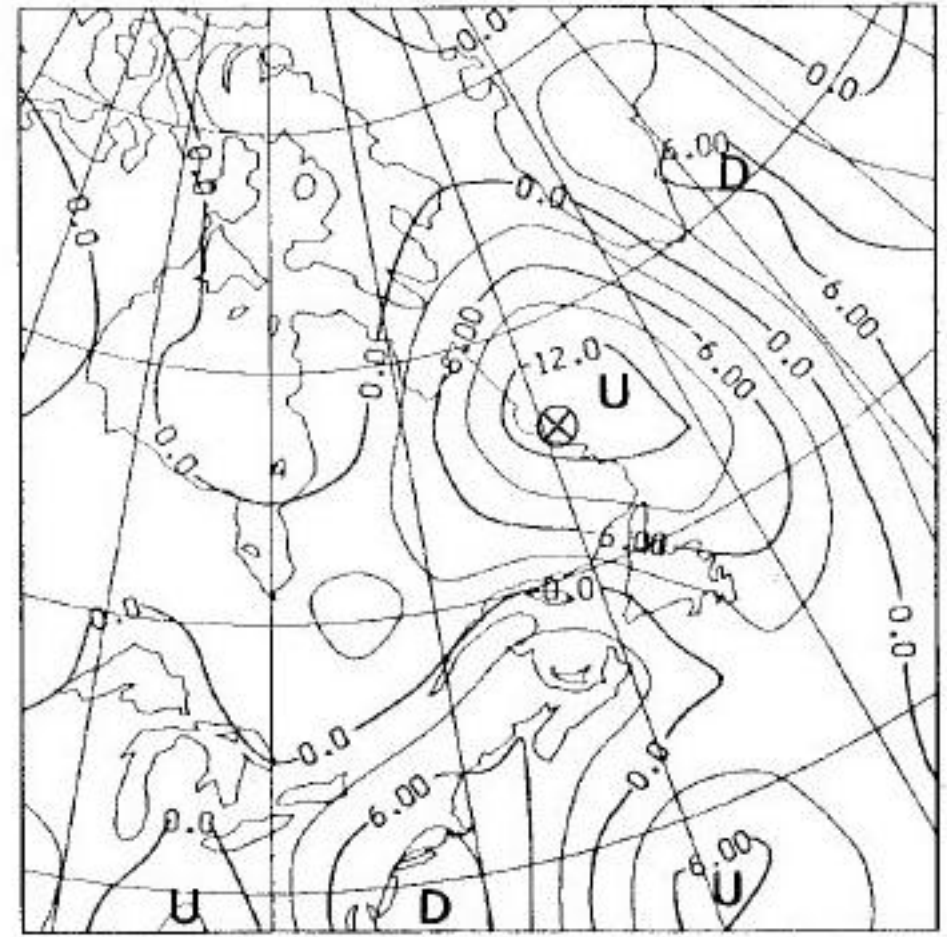
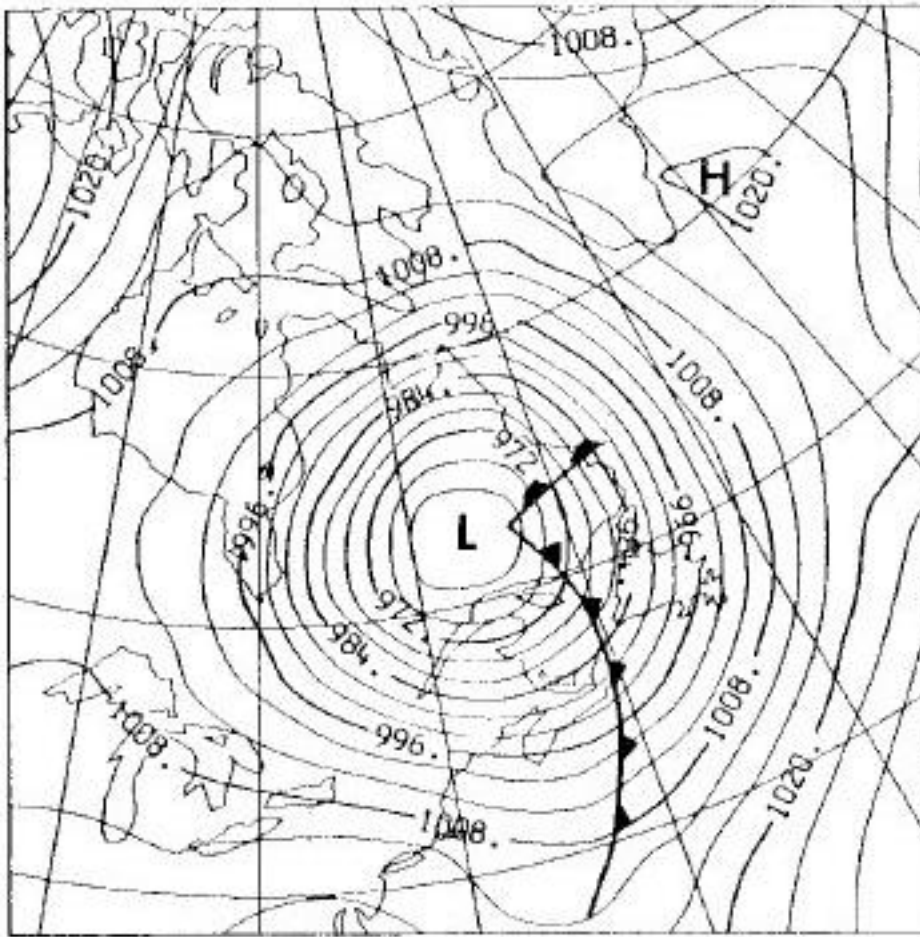


Surface pressure evolution: No Initialization and LNMI.



Vertical velocity for flow over the Rockies.

A realistic w field is generated by nonlinear normal mode initialization.



Generation of vertical velocity in frontal depression.

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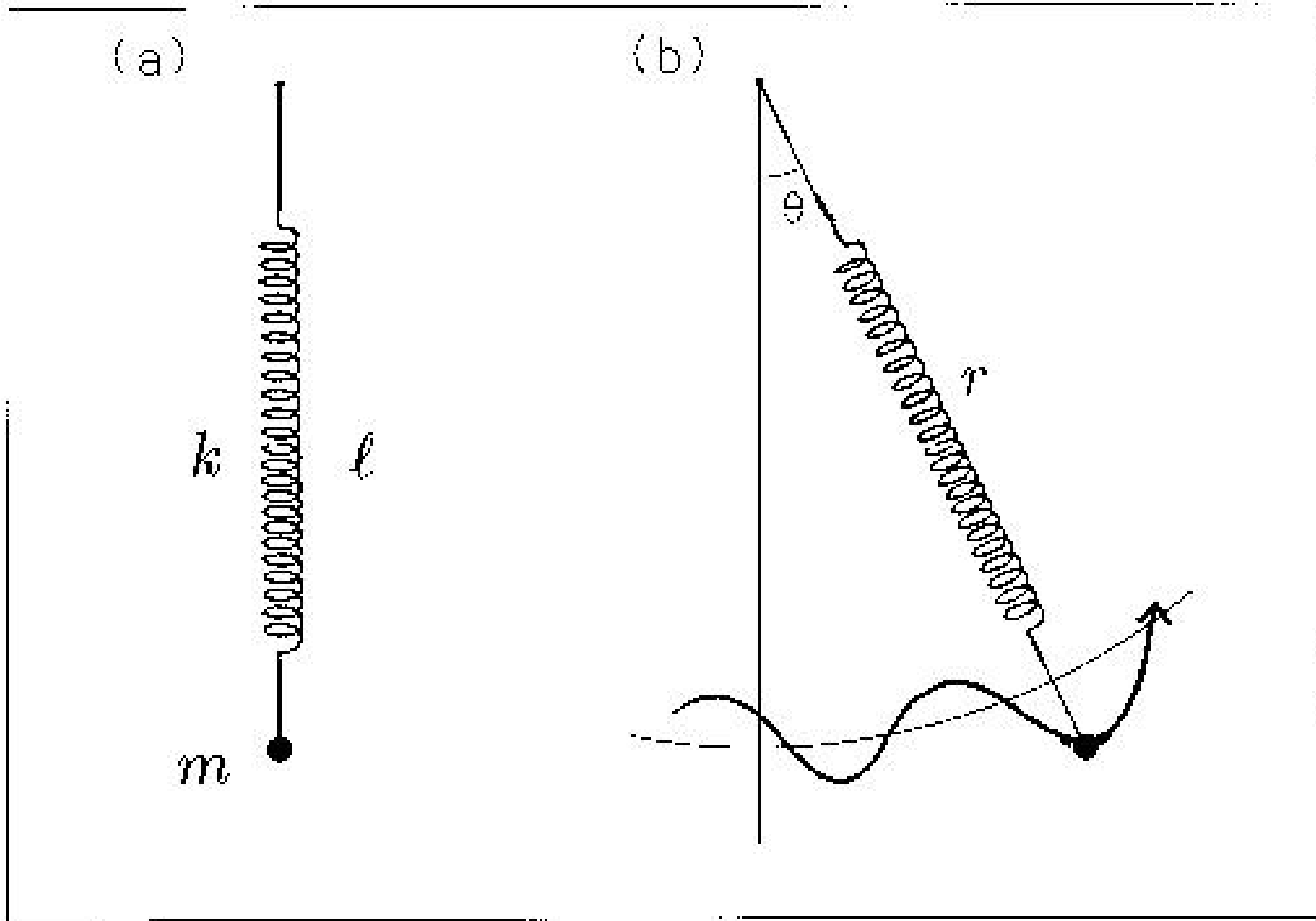
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Similarly, the low frequency **rotational motions** are considered to correspond to the rotational or **Rossby waves**.



The swinging spring (2D case)

The Dynamical Equations

Let l_0 be the unstretched length of the spring, k its elasticity or stiffness and m the mass of the bob.

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The **Hamiltonian** is (in this case) the sum of kinetic, elastic potential and gravitational potential energy:

$$H = \frac{1}{2m} \left(p_r^2 + \frac{p_\theta^2}{r^2} \right) + \frac{1}{2}k(r - \ell_0)^2 - mgr \cos \theta .$$

(If the Hamiltonian formalism is unfamiliar, the equations may be derived by considering the forces on the bob).

The (canonical) dynamical equations may now be written

$$\begin{aligned}\dot{\theta} &= p_{\theta}/mr^2 \\ \dot{p}_{\theta} &= -mgr \sin \theta \\ \dot{r} &= p_r/m \\ \dot{p}_r &= p_{\theta}^2/mr^3 - k(r - \ell_0) + mg \cos \theta.\end{aligned}$$

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These equations may be written symbolically in vector form

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The linear slow and fast modes evolve independently.

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the equations for **elastic oscillations** with frequency

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We define the **ratio of the rotational and elastic frequencies**:

$$\omega_{\text{R}} = \sqrt{\frac{g}{\ell}}, \quad \omega_{\text{E}} = \sqrt{\frac{k}{m}}, \quad \epsilon \equiv \left(\frac{\omega_{\text{R}}}{\omega_{\text{E}}} \right).$$

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We assume that the parameters are such that

$$\epsilon \ll 1$$

In this case the linear normal modes are clearly distinct:

- The rotational mode has low frequency (LF)
- The elastic mode has high frequency (HF).

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It is clear from the equations that linear initialization will not ensure permanent absence of HF motions ...

... the nonlinear LF terms generate radial momentum.

To achieve better results, we set the **initial tendency** of the HF components to zero:

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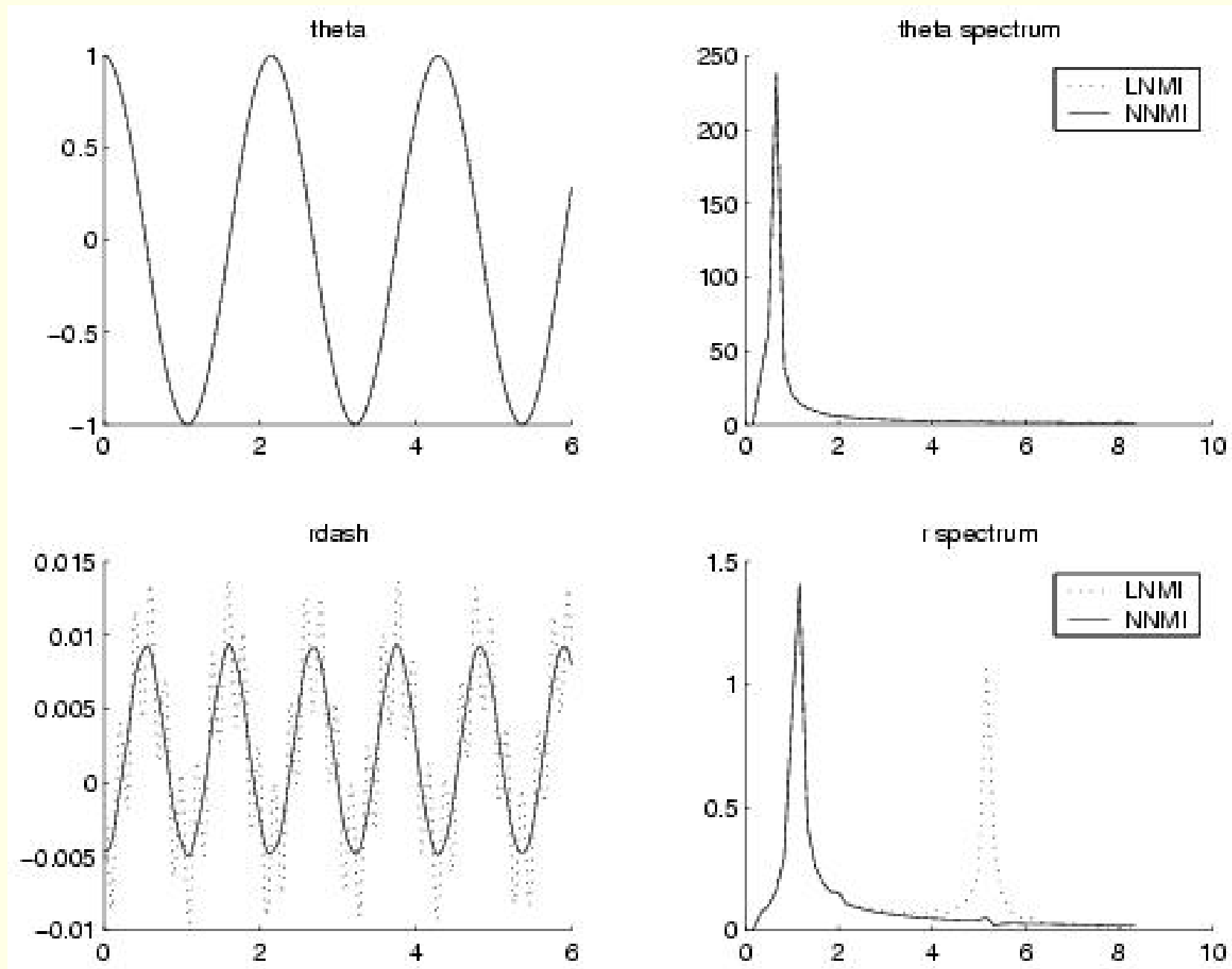
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Does it work? An example to follow shows that it does!



Solution of swinging spring equations for linear (LNMI) and nonlinear (NNMI) initialization.

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The initial conditions are vanishing velocity ($\dot{r} = \dot{\theta} = 0$), with $\theta(0) = 1$ and $r(0) \in \{1, 0.99540\}$.

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The parameter values are

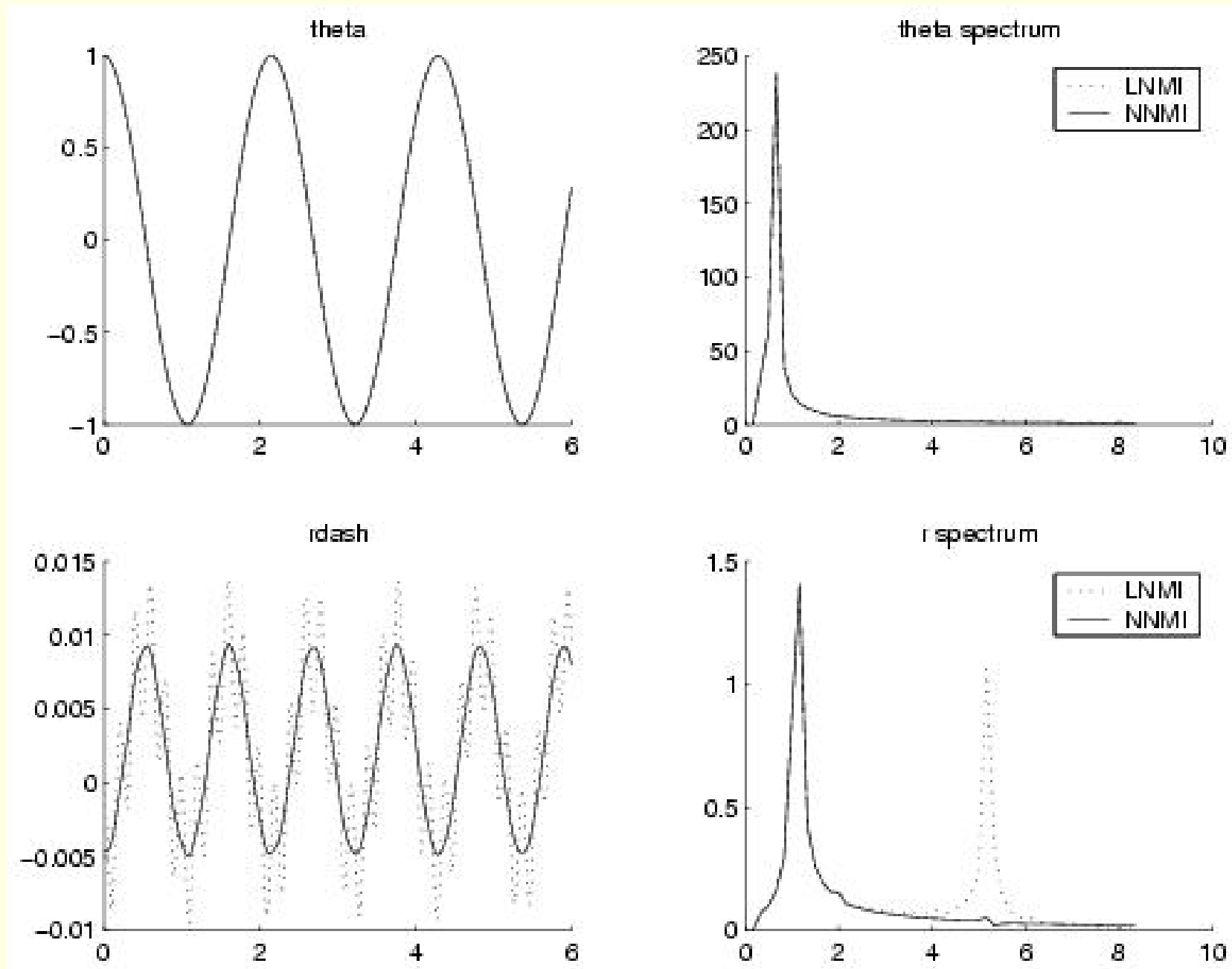
$$m = 1, \quad g = \pi^2, \quad k = 100\pi^2 \quad \text{and} \quad \ell = 1 \quad (\text{SI units})$$

Thus, $\epsilon = 0.1$ and the periods of the swinging and springing motions are respectively

$$\tau_{\text{R}} = 2 \text{ s} \quad \text{and} \quad \tau_{\text{E}} = 0.2 \text{ s}.$$

The initial conditions are vanishing velocity ($\dot{r} = \dot{\theta} = 0$), with $\theta(0) = 1$ and $r(0) \in \{1, 0.99540\}$.

The equations are integrated over a period of 6 seconds



Solution of swinging spring equations for linear (LNMI) and nonlinear (NNMI) initialization.

The upper panels show the evolution and spectrum of the slow variable θ

The lower panels are for the fast variable r .

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Dotted curves are for linear initialization and **solid curves** for nonlinear initialization.

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This is confirmed in the spectrum: there is a sharp peak at 5 Hz.

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For the fast variable, the linearly initialized evolution has high frequency noise (dotted curve, lower left panel).

This is confirmed in the spectrum: there is a sharp peak at 5 Hz.

When **nonlinearly initialized**, this peak is removed: only the peak at 1 Hz remains.

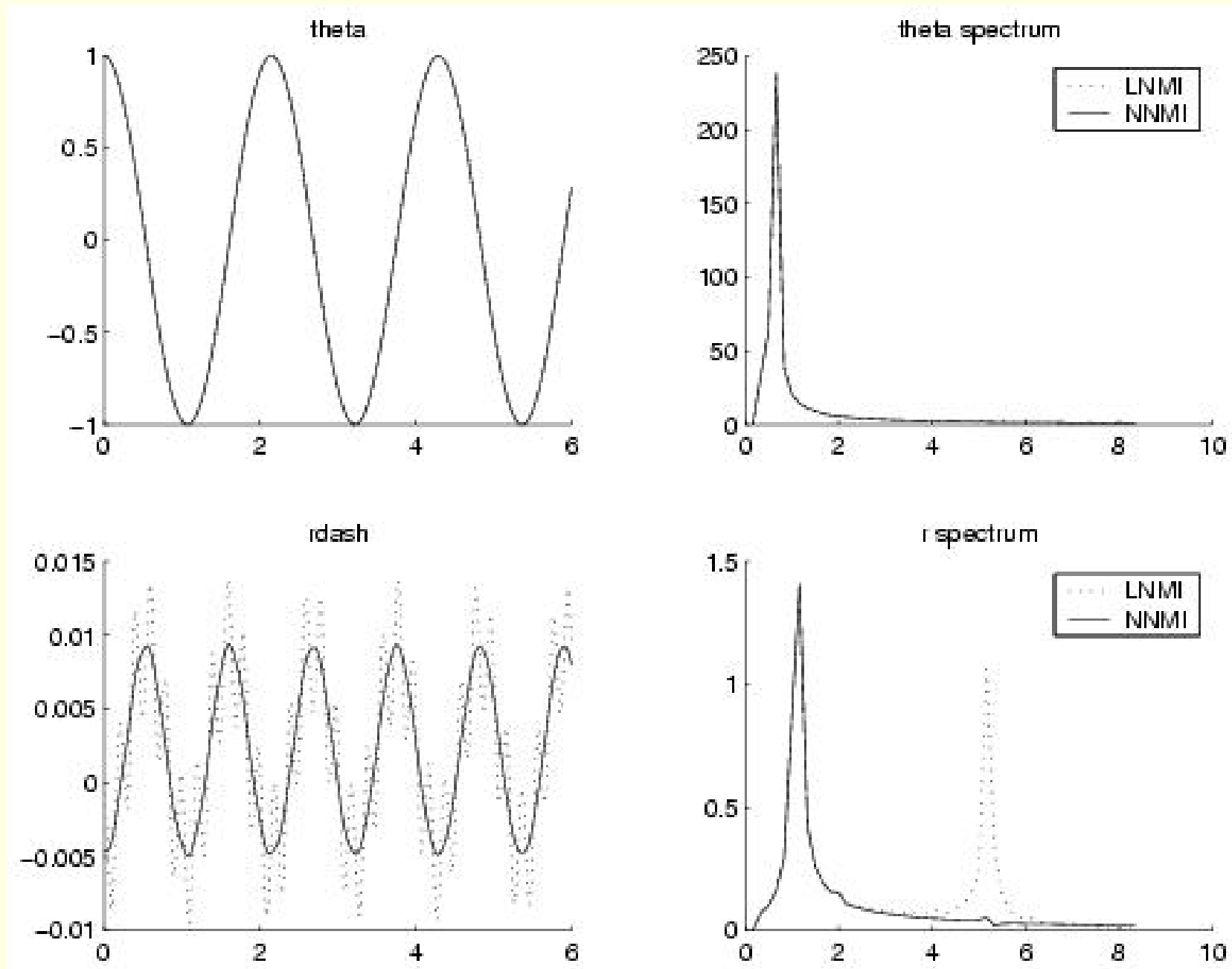
This is the ‘balanced fast motion’.

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. . . The centrifugal effect stretches the spring twice for each pendular swing: the result is a component of r with a period of one second.

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. . . The centrifugal effect stretches the spring twice for each pendular swing: the result is a component of r with a period of one second.

The radial variation does not disappear for balanced motion, but it is of low frequency.

The balanced fast motion is said to be 'slaved' (or, better, enslaved) to the slow motion.



Solution of swinging spring equations for linear (LNMI) and nonlinear (NNMI) initialization.

End of §4.2